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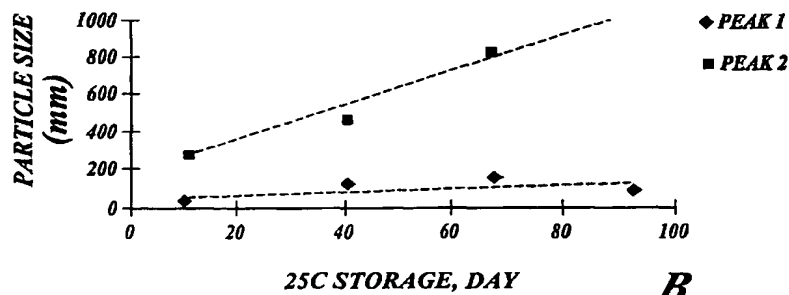
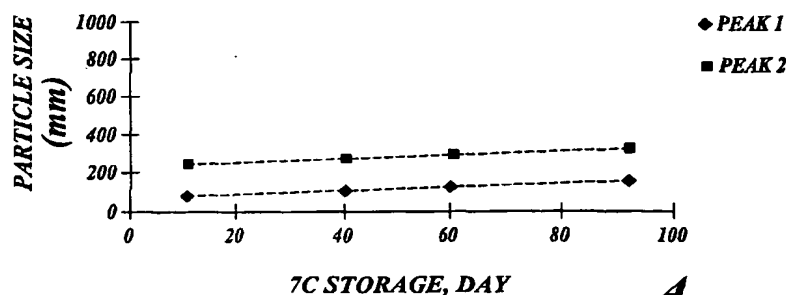
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[Continued on next page]

(54) Title: EMULSION VEHICLE FOR POORLY SOLUBLE DRUGS



(57) Abstract: Pharmaceutical compositions contain one or more therapeutics or chemotherapeutics, one or more tocopherols as a solvent, a surfactant, and optionally a co-solvent.

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## EMULSION VEHICLE FOR POORLY SOLUBLE DRUGS

## FIELD OF THE INVENTION

This invention is in the field of pharmaceutical agents. In particular, this  
5 invention relates to pharmaceutical agents wherein one or more tocols is used as a solvent, particularly a primary solvent.

## BACKGROUND OF THE INVENTION

Hundreds of medically useful compounds are discovered each year, but clinical  
use of these drugs is possible only if a drug delivery vehicle is developed to transport  
10 them to their therapeutic target in the human body. This problem is particularly critical for drugs requiring intravenous injection in order to reach their therapeutic target or dosage but which are water insoluble or poorly water soluble. For such hydrophobic compounds, direct injection may be impossible or highly dangerous, and can result in hemolysis, phlebitis, hypersensitivity, organ failure and/or death. Such compounds are  
15 termed by pharmacists "lipophilic", "hydrophobic", or in their most difficult form, "amphiphobic".

A few examples of therapeutic substances in these categories are ibuprofen, diazepam, griseofulvin, cyclosporin, cortisone, proleukin, etoposide and paclitaxel. Kagkadis, K.A. et al. (1996), *PDA J. Pharm. Sci. Tech.* 50(5):317-323; Dardel, O.  
20 (1996), *Anaesth. Scand.* 20:221-24; Sweetana, S. and M.J.U. Akers. (1996) *PDA J. Pharm. Sci. Tech.* 50(5):330-342.

For drugs that cannot be formulated as an aqueous solution, emulsions have typically been most cost-effective and gentle to administer, although there have been serious problems with making them sterile and endotoxin free so that they may be  
25 administered by intravenous injection. The oils typically used for pharmaceutical emulsions include saponifiable oils from the family of triglycerides, for example, soybean oil, sesame seed oil, cottonseed oil, safflower oil and the like. Hansrani, P.K. et al. (1983) *J. Parenter. Sci. Technol.* 37:145-150. One or more surfactants are used to stabilize the emulsion, and excipients are added to render the emulsion more  
30 biocompatible, stable and less toxic. Lecithin from egg yolks or soybeans is a commonly used surfactant. Sterile manufacturing can be accomplished by absolute sterilization of all the components before manufacture, followed by absolutely aseptic technique in all

stages of manufacture. However, improved ease of manufacture and assurance of sterility is obtained by terminal sterilization following sanitary manufacture, either by heat or by filtration. Unfortunately, not all emulsions are suitable for heat or filtration treatments.

5           Stability has been shown to be influenced by the size and homogeneity of the emulsion. The preferred emulsion consists of a suspension of sub-micron particles, with a mean droplet diameter of no greater than 200 nanometers. A stable dispersion in this size range is not easily achieved, but has the benefit that it is expected to circulate longer in the bloodstream. Further, less of the stable dispersion in this size range is  
10 phagocytized non-specifically by the reticuloendothelial system. As a result the drug is more likely to reach its therapeutic target. Thus, a preferred drug emulsion will be designed to be actively taken up by the target cell or organ, and is targeted away from the RES.

          The use of vitamin E in emulsions is known. In addition to the hundreds of  
15 examples where vitamin E in small quantities (for example, less than 1%, Lyons, R.T., *Pharm. Res.* 13(9):S-226 (1996) "Formulation development of an injectable oil-in-water emulsion containing the lipophilic antioxidants  $\alpha$ -tocopherol and  $\beta$ -carotene") is used as an anti-oxidant in emulsions, the first primitive, injectable vitamin E emulsions *per se* were made by Hidioglou for dietary supplementation in sheep and for research on the  
20 pharmacokinetics of vitamin E and its derivatives. Hidioglou M. and Karpinski K. (1988) *Brit. J. Nutrit.* 59:509-518.

          For mice, an injectable form of vitamin E was prepared by Kato and coworkers. Kato Y., et al. (1993) *Chem. Pharm. Bull.* 41(3):599-604. Micellar solutions were formulated with Tween 80, Brij 58 and HCO-60. Isopropanol was used as a co-solvent,  
25 and was then removed by vacuum evaporation; the residual oil glass was then taken up in water with vortexing as a micellar suspension. An emulsion was also prepared by dissolving vitamin E with soy phosphatidycholine (lecithin) and soybean oil. Water was added and the emulsion prepared with sonication.

          In 1983, a vitamin E emulsion, E-Ferol, was introduced for vitamin E  
30 supplementation and therapy in neonates. Alade S.L. et al. (1986) *Pediatrics* 77(4):593-597. Within a few months over 30 babies had died as a result of receiving the product, and the product was promptly withdrawn by FDA order. The surfactant mixture used in

E-Ferol to emulsify 25 mg/mL vitamin E consisted of 9% Tween 80 and 1% Tween 20. These surfactants at the employed levels seem ultimately to have been responsible for the unfortunate deaths. This experience illustrates the need for improved formulations and the importance of selecting suitable biocompatible surfactants and carefully monitoring their levels in parenteral emulsions.

An alternative means of solubilizing poorly water soluble compounds is direct solubilization in a non-aqueous milieu, for example alcohol (such as ethanol) dimethylsulfoxide or triacetin. An example in PCT Application WO 95/11039 describes the use of vitamin E and the vitamin E derivative TPGS in combination with ethanol and the immunosuppressant molecule cyclosporin. U.S. Patent No. 5,689,846 discloses various alcohol solutions of paclitaxel. U.S. Patent No. 5,573,781 discloses the dissolution of paclitaxel in ethanol, butanol and hexanol and an increase in the antitumor activity of paclitaxel when delivered in butanol and hexanol as compared to ethanol. Alcohol-containing solutions can be administered with care, but are typically given by intravenous drip to avoid the pain, vascular irritation and toxicity associated with bolus injection of these solutions.

U.S. Patent No. 4,439,432 discloses preparing high concentration solutions of progesterone in tocopherol. Emulsions can be prepared from these solutions, for use as skin treatments for systemic progesterone deficiency, for treating local skin conditions such as psoriasis or for vaginal application. The solutions may also be encapsulated for oral administration.

EP application 001,851 (Akzo N.V.) discloses highly concentrated solutions of steroids of the oestrane, androstane, and (19-nor-) pregnane series which include tocol and tocol derivatives that are liquid at normal temperature.

PCT Publication WO 95/21217 (Dumex Ltd.) discloses that tocopherols can be used as solvents and/or emulsifiers of drugs that are substantially insoluble in water, in particular for the preparation of topical formulations. The use of vitamin E-TPGS as an emulsifier in formulations containing high levels of  $\alpha$ -tocopherol is mentioned in the specification (pages 7-8 and 12). Examples 1 to 5 disclosed formulations for topical administration comprising a lipid layer ( $\alpha$ -tocopherol), the drug and vitamin E-TPGS, in quantities of less than 25% w/w of the formulation, as an emulsifier.

PCT Publication WO 97/03651 (Danbiosyst UK Ltd.) discloses lipid drug delivery compositions that contain at least five ingredients: a therapeutic drug, vitamin E, an oil in which the drug and vitamin E are dissolved, a stabilizer (either phospholipid, a lecithin, or a poloxamer which is a polyoxyethylene-polyoxypropylene copolymer) and water. The therapeutic drugs disclosed are itraconazole and paclitaxel. The "therapeutic emulsion" compositions require two oils in the dispersed phase where the therapeutic drug resides, vitamin E and another oil, typically a triglyceride such as soybean oil. The only working example with paclitaxel, Example 16, also contains both vitamin E and soybean oil.

WO 97/03651 also discloses, incidentally, that tocol derivatives and tocotrienols that have vitamin E activity are considered to be within the definition of "vitamin E" as used in that publication.

PCT Publication WO 97/22358 (Sherman) discloses microemulsion preconcentrates of cyclosporin dissolved in a solvent system that can include a hydrophilic component selected from tocol, tocopherols, tocotrienols, and their derivatives. In addition to  $\alpha$ -tocopherol, the publication also mentions  $\beta$ -,  $\delta$ - and  $\gamma$ -tocopherols, and  $\alpha$ -,  $\beta$ -,  $\delta$ - and  $\gamma$ -tocotrienols or mixtures of them. These compositions also include a hydrophilic solvent, preferably propylene carbonate or polyethylene glycols having an average molecular weight of less than 1000. A second PCT publication of Sherman (WO 98/30204) discloses microemulsion preconcentrates of cyclosporins in which the solvent system may comprise two hydrophobic solvents, one of which is selected from tocol, tocopherols, tocotrienols and their derivatives.

N-methyl-2-pyrrolidone (NMP), under the trade name Pharmosolve<sup>TM</sup>, can be used to improve the solubility of poorly soluble drugs in pharmaceutical formulations and has appeared in recent literature for use in veterinary medicine with forthcoming application in humans. Furthermore, polyvinylpyrrolidone (PVP) under the trade name Povidone<sup>TM</sup> with a molecular weight between 2,500 to 100,000 at a concentration of 1 to 5 percent (w/v) of the aqueous injectable base can be used as a co-solubilizer along with NMP. U.S. Patent No. 5,726,181 discloses antitumor compositions and suspensions comprising NMP and highly lipophilic camptothecin derivatives.

Polyethylene glycols (PEGs) and PVP are examples of two water-soluble polymers frequently used to modify the solubility behavior of drugs, including paclitaxel.

Although the solubility of paclitaxel in both solvents is relatively high, in dilute aqueous solutions that are suitable for parenteral administration the solubility of the drug is low and the potential for drug precipitation upon dilution is high. In admixtures of PEG 400 and water containing 50-100% PEG 400, the solubility of paclitaxel varies from 0.2 to 175 mg/ml, respectively. Thus, paclitaxel solubilities are quite low where larger amounts of water are used, e.g., in 35% PEG 400 and 30% PVP in water are 0.03 mg/ml and  $\leq$  0.3 mg/ml, respectively. "Solubility of paclitaxel in Polyethylene Glycol 400/Water Mixtures" (Straubinger, R.M., Biopharmaceutics of paclitaxel (Taxol); Formulation, activity and pharmacokinetics, p. 244 In *Taxol, Science and Applications*. (M. Suffness ed.), CRC Press, New York, 1995). The use of PEG-400 is not limited to paclitaxel and can be applied to other therapeutic agents which exhibit good solubility in polyethylene glycols (for example Etoposide). Derivative forms of paclitaxel including polyethylene glycol derivatives are described in U.S. Patent No. 5,614,549.

In addition to poor solubility and the potential for drug precipitation with pharmaceutical formulations in non-aqueous solvents such as alcohol (ethanol, isopropanol, benzyl alcohol, etc.) along with surfactants, another problem is the ability of these solvents to extract toxic substances, for example plasticizers, from their containers. The current commercial formulation for the anti-cancer drug paclitaxel, for example, consists of a mixture of hydroxylated castor oil and ethanol, and rapidly extracts plasticizers such as di-(2-ethylhexyl)-phthalate from commonly used intravenous infusion tubing and bags. Adverse reactions to the plasticizers have been reported, such as respiratory distress, necessitating the use of special infusion systems at extra expense and time. Waugh, et al. (1991) *Am J. Hosp. Pharmacists* 48:1520.

In light of these problems, it can be seen that the ideal emulsion vehicle would be inexpensive, non-irritating or even nutritive and palliative in itself, terminally sterilizable by either heat or filtration, stable for at least 1 year under controlled storage conditions, accommodate a wide variety of water insoluble and poorly soluble drugs and be substantially ethanol-free. In addition to those drugs which are lipophilic and dissolve in oils, also needed is a vehicle which will stabilize, and carry in the form of an emulsion, drugs which are poorly soluble in lipids and in water.

## SUMMARY OF THE INVENTION

In order to meet these needs, the present invention is directed to pharmaceutical compositions, particularly chemotherapeutic compositions, including one or more tocols, with or without an aqueous phase, a surfactant or mixtures of surfactants, optionally a  
5 co-solvent, and a therapeutic or chemotherapeutic agent. The compositions of the invention may be in the form of an emulsion, microemulsion, or a self-emulsifying drug delivery system. The tocol molecule is preferably  $\alpha$ -tocopherol. The compositions of the invention are generally substantially free of any monohydric alcohol.

The co-solvent, when employed, may include water-soluble polymers, preferably  
10 polyethylene glycols or polyvinylpyrrolidone with or without N-methyl-2-pyrrolidone. Polyethylene glycols (PEGIC) with a molecular weight between 100 to 10,000 are the most preferred co-solvent. Most preferred is PEG-400 in amounts greater than 1% by weight of the formulation.

The pharmaceutical compositions can be stabilized by the addition of various  
15 amphiphilic molecules, including anionic, nonionic, cationic, and zwitterionic surfactants. Preferably, these molecules are PEGylated surfactants and optimally PEGylated  $\alpha$ -tocopherol.

The amphiphilic molecules further include surfactants such as ascorbyl-6  
20 palmitate, stearylamine, sucrose fatty acid esters, pegylated phospholipids, various tocol derivatives, and a polyoxypropylene-polyoxyethylene glycol nonionic block copolymer. Useful surfactants also include poloxamers, tetronics, TPGS, glutamyl stearate, pegylated mono- and diglycerides, propylene glycol mono-/diesters, polyglyceryl esters, Solutol HS-15, phospholipids, lecithins, pegylated phospholipids, pegylated sterols, pegylated cholesterol, and other tocol esters, sucrose esters, fatty acids, bile acids and conjugated  
25 bile acids, nonionic and anionic surfactants.

The therapeutic agent may be a chemotherapeutic agent, an antibiotic (antiviral, antibacterial, antihelminthic, antiplasmodial, or antimycotic), an analgesic, an antidepressant, antipsychotic, a hormone, a steroid, a vascular tonic, an angiogenesis inhibitor, a cytomedine or a cytokine.

30 A more comprehensive, but not limiting, list of the types of drugs that are suitable for use in the present invention is contained in PCT application WO 98/30205, incorporated herein by reference in its entirety.



Tocol based emulsions are especially advantageous with synergistic biological and therapeutic effects when used to deliver cardiovascular or cancer therapeutics.

An added advantage of a particulate emulsion for the delivery of a chemotherapeutic is the widespread property of surfactants used in emulsions to  
5 overcome multidrug resistance by inhibiting P-glycoprotein, a membrane-bound drug transporter.

Emulsions and microemulsions of the invention can comprise an aqueous medium. This medium can contain various additives to assist in stabilizing the emulsion or microemulsion or in rendering the formulation biocompatible.

10 In one form, the invention is directed to a pharmaceutical composition comprising a tocol, preferably  $\alpha$ -tocopherol, a chemotherapeutic selected from taxoids, taxines and taxanes, water and  $\alpha$ -tocopherol polyethyleneglycol 1000 succinate. In another form, the invention is directed to a pharmaceutical composition comprising  $\alpha$ -tocopherol, a co-solvent, one or more surfactants, an aqueous phase, and a therapeutic agent, wherein the  
15 composition is in the form of an emulsion or microemulsion, and the solution is substantially free of any monohydric alcohol.

In a preferred format, the co-solvent may be polyethylene glycol, N-methyl-2-pyrrolidone, polyvinyl-pyrrolidone, or mixtures thereof.

In a preferred format, the surfactant is an  $\alpha$ -tocopherol derivative and the  
20 polyethylene glycol has a molecular weight between 100 to 10,000 most preferably from about 200 to about 1000.

In a preferred format, the therapeutic agent is a chemotherapeutic agent selected from taxoids, taxines and taxanes.

When a co-solvent is utilized the pharmaceutical compositions of the invention  
25 are typically formed by dissolving a therapeutic agent in the co-solvent to form a therapeutic agent solution and one or more tocols are then added along with one or more surfactants to the therapeutic agent solution to form an oil solution of the therapeutic agent in the hydrophilic co-solvent. The oil solution is then blended with an aqueous phase to form a pre-emulsion. For IV delivery, the pre-emulsion is further homogenized  
30 to form a fine emulsion. For oral delivery, the oil solution of the therapeutic agent in the co-solvent along with surfactants is typically encapsulated in a gelatin capsule.

In a preferred form of the method of the invention, the therapeutic agent is dissolved directly in polyethylene glycol or in a tocol oil, which allows the avoidance of the use of monohydric alcohols as a solvent.

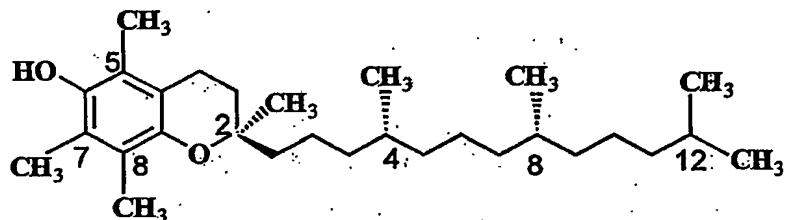
#### BRIEF DESCRIPTION OF THE DRAWINGS

- 5       The invention will be better understood by reference to the FIGURES, in which:  
FIGURE 1A shows the particle size of a paclitaxel emulsion (QWA) at 7°C over time;  
FIGURE 1B shows the particle size of a paclitaxel emulsion (QWA) at 25°C over time;
- 10       FIGURE 2 is an HPLC chromatogram showing the integrity of a paclitaxel in an emulsion as described in Example 5;  
FIGURE 3A shows the paclitaxel concentration of a paclitaxel emulsion (QWA) at 4°C over time;  
FIGURE 3B shows the paclitaxel concentration of a paclitaxel emulsion (QWA)
- 15       at 25°C over time; and  
FIGURE 4 shows the percentage of paclitaxel released over time from three different emulsions. The symbol • represents the percentage of paclitaxel released over time from an emulsion commercially available from Bristol Myers Squibb. The symbol > represents the percentage of paclitaxel released over time from an emulsion of this
- 20       invention containing 6 mg/ml paclitaxel (QWA) as described in Example 6. The symbol ◇ represents the percentage of paclitaxel released over time from an emulsion of this invention (QWB) containing 7 mg/ml paclitaxel as described in Example 7.
- FIGURE 5 shows the efficacy of a PEG-400/vitamin E/paclitaxel emulsion against B16 melanoma in mice.

#### 25       DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

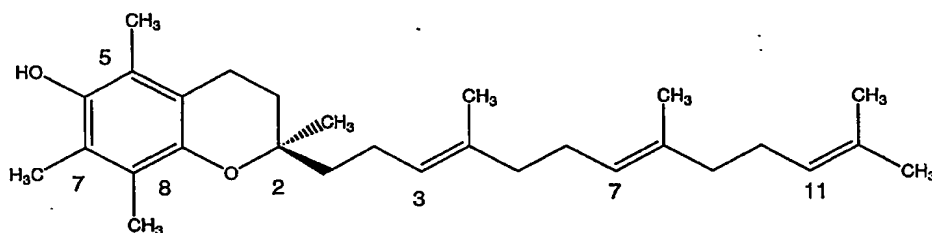
To ensure a complete understanding of the invention the following definitions are provided:

- Tocopherols: Tocopherols are a family of natural and synthetic compounds. d- $\alpha$ -tocopherol, also known as vitamin E, is the most abundant and active form of this
- 30       class of compounds and has the following chemical structure:



The molecule contains three structural elements, a chroman head with a phenolic alcohol and a phytyl tail. Not all tocopherols have three methyl groups on the chroman head. The simplest member of this group contains no methyl groups on the chroman ring (6-hydroxy-2-methyl-2-phytylchroman) and is sometimes simply referred to as "tocol." However, the terms "tocols" and "tocol" are used herein to represent a broader class of compounds. Other members of the tocopherol class include  $\alpha$ -,  $\beta$ -,  $\gamma$ -, and  $\delta$ - tocopherols and Trolox® (6-hydroxy, 2,5,7,8-tetramethylchroman-2-carboxylic acid) and its desmethyl analogs. In addition to their use as a primary solvent, some tocopherols and their derivatives are useful as therapeutic agents.

Tocotrienols have structures related to the tocopherols but which possess a 3, 7, 11 tri-ene "tail". The structure of d-alpha-tocotrienol is shown below:



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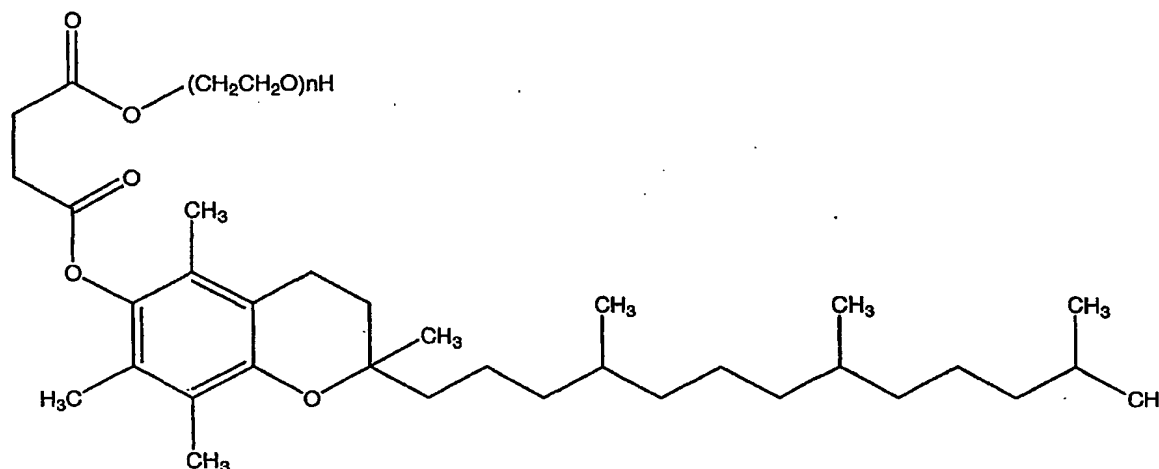
Again, as is the case for the tocopherols, not all tocotrienols have three methyl groups on the chroman head. There are four major tocotrienols,  $\alpha$ -,  $\beta$ -,  $\gamma$ -, and  $\delta$ -tocotrienols. Analogous compounds having fewer methyl groups such as desmethyltocotrienol and didesmethyltocotrienol are included within the definition of tocotrienols.

20

Tocols: "Tocols" is used herein in a broad sense to indicate the family of tocopherols and tocotrienols and derivatives thereof, including those common derivatives esterified at the 6-hydroxyl on the chroman ring. This use of the term "tocols" is appropriate since all tocopherols and tocotrienols are fundamentally derivatives of the simplest tocopherol, 6-hydroxy-2-methyl-2-phytylchroman (sometimes referred to as "tocol").

Surfactants: Surface active class of amphiphilic molecules which are manufactured by chemical processes or purified from natural sources or processes. These can be anionic, cationic, nonionic, and zwitterionic. Typical surfactants are described in *Emulsions: Theory and Practice*, Paul Becher, Robert E. Krieger Publishing, Malabar, Florida, 1965; "Pharmaceutical Dosage Forms: Dispersed Systems" Vol. 1, Martin M. Rigear, *Surfactants* and U.S. Patent No. 5,595,723, which is assigned to the assignee of this invention, Sonus Pharmaceuticals. All of these references are hereby incorporated by reference.

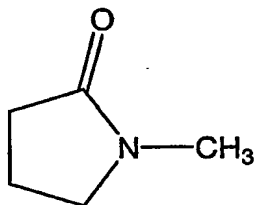
TPGS: TPGS or PEGylated vitamin E is a vitamin E derivative in which polyethylene glycol subunits are attached by a succinic acid diester at the ring hydroxyl of the vitamin E molecule. TPGS stands for  $\alpha$ -tocopherol polyethyleneglycol 1000 succinate (MW = 1513). TPGS is a non-ionic surfactant (HLB = 16-18) having the structure below:



Various chemical derivatives of TPGS including ester and ether linkages of various chemical moieties are included within the definition of TPGS.

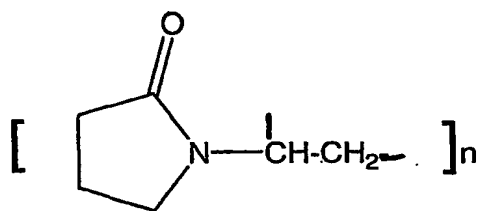
Polyethylene glycol: Polyethylene glycol (PEG) is a hydrophilic, polymerized form of ethylene glycol, consisting of repeating units of the chemical structure: (CH<sub>2</sub>-CH<sub>2</sub>-O-). The general formula for polyethylene glycol is HOCH<sub>2</sub> (CH<sub>2</sub>OCH<sub>2</sub>)<sub>n</sub> CH<sub>2</sub>OH or H(OCH<sub>2</sub>CH<sub>2</sub>)<sub>n</sub>OH. The molecular weight ranges from 200 to 10,000. Such various forms are described as PEG-200, PEG-400, and the like.

N-Methyl-2-pyrrolidone: N-methyl-2-pyrrolidone (NMP) is an organic molecule with the following chemical structure:



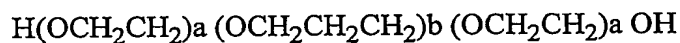
A GMP grade of this compound is available under the name Pharmasolve™ and is used to improve the solubility of poorly soluble drugs in pharmaceutical formulations. The enhanced solubility of certain drugs can be attributed to a complexing action with the nitrogen and carbonyl reactive centers of the molecule.

Polyvinyl pyrrolidone: Polyvinyl pyrrolidone (PVP) or Povidone is a water soluble polymer, consisting of repeating units of the chemical structure:



Its average MW can vary between 2500 and  $3 \times 10^6$ . Special grades of pyrogen-free povidone are available for parenteral administration. Concentrations up to 5% w/v can be used as co-solvent for poorly soluble drugs.

Poloxamers or Pluronics: Poloxamers or Pluronics are synthetic block copolymers of ethylene oxide and propylene oxide having the general structure:



The following variants based on the values of a and b are commercially available from BASF Performance Chemicals (Parsippany, New Jersey) under the trade name Pluronic and which consist of the group of surfactants designated by the CTFA name of Poloxamer 108, 188, 217, 237, 238, 288, 338, 407, 101, 105, 122, 123, 124, 181, 182, 183, 184, 212, 231, 282, 331, 401, 402, 185, 215, 234, 235, 284, 333, 334, 335, and 403. For the most commonly used poloxamers 124, 188, 237, 338 and 407 the values of a and b are 12/20, 79/28, 64/37, 141/44 and 101/56, respectively.

Solutol HS-15: Solutol HS-15 is a polyethylene glycol 660 hydroxystearate manufactured by BASF (Parsippany, NJ). Apart from free polyethylene glycol and its monoesters, di-esters are also detectable. According to the manufacturer, a typical lot of Solutol HS-15 contains approximately 30% free polyethylene glycol and 70% polyethylene glycol esters.

Other Surfactants: Other surfactants useful in the invention include ascorbyl-6 palmitate (Roche Vitamins, Nutley, NJ), stearylamine, and sucrose fatty acid esters (Mitsubishi Chemicals). Custom surfactants include those compounds with polar water-loving heads and hydrophobic tails, such as a vitamin E derivative comprising a peptide bonded polyglutamate attached to the ring hydroxyl and pegylated phytosterol. Other peptides may be bonded to vitamin E as well. Also, pegylated phospholipids are useful surfactants. Examples of pegylated phospholipids include PEG 2000 or PEG 5000 analogs of phosphatidylethanolamine where the fatty acid chains contain  $\text{C}_6$ - $\text{C}_{24}$  fatty acids which can be saturated, unsaturated, mixtures thereof.

Hydrophile-Lipophile Balance (HLB): An empirical formula used to index surfactants. Its value varies from 1-45 and in the case of non-ionic surfactants from about 1-20. In general for lipophilic surfactants the HLB is less than 10 and for hydrophilic ones the HLB is greater than 10.

Biocompatible: Capable of performing functions within or upon a living organism in an acceptable manner, without undue toxicity or physiological or pharmacological effects.

Substantially Free of Any Monohydric Alcohol: A composition having a monohydric alcohol concentration less than about 1.0% (w/v) monohydric alcohol. As used herein, the term "monohydric" alcohol is an alcohol containing one hydroxyl group, such as but not limited to ethanol, butanol, isopropanol. The term "polyhydric" alcohol or "polyol" is an alcohol containing two or more hydroxyl groups, such as but not limited to, ethylene glycol, propylene glycol, or polyethylene glycol (PEG). PEG is also referred to as "polyglycol" with ethylene glycol as a polymerized unit. Other suitable polyhydric alcohols for use herein include, but are not limited to, ethylene glycol (2-OH groups), glycerol (3-OH groups), sorbitol (6-OH groups) and mannitol (6-OH groups).

Multiphase System: As used herein, this term refers to a system where one or more phases is (are) dispersed throughout another phase, which is usually referred to as the continuous phase or vehicle, or a precursor thereof. Emulsions, microemulsions and other nanoparticulates, including liposomes and niosomes, are examples of multiphase systems.

Emulsion: A colloidal dispersion of two immiscible liquids, such as oil and water, in the form of droplets. The internal phase is also termed the dispersed phase and the external phase is termed the continuous phase. The mean diameter of the dispersed phase, in general, is between about 0.1 and about 5.0 microns, as is commonly measured by particle sizing methods. Emulsions in which the dispersed phase and continuous phase have different refractive indexes are typically optically opaque. Emulsions possess a finite or limited stability over time, and can be stabilized by the incorporation of amphiphilic excipients known as surfactants and by viscosity modifiers.

Microemulsion: A thermodynamically stable, isotropically clear dispersion of two immiscible liquids, stabilized by an interfacial film of surfactant molecules. Microemulsions have a mean droplet diameter of less than about 200 nm, in general between about 10-100 nm and are typically self-assembling.

Tocol microemulsion: A thermodynamically stable, translucent or clear dispersion of a tocol oil in water, stabilized by an interfacial film of surfactant molecules. Tocol microemulsions have a mean droplet diameter of less than about 200 nm, in

general between about 50 and about 100 nm, and typically are not self-assembling, but require heat or increased shear to assemble due to the high viscosity of the tocol oil.

A highly preferred form of the invention for drug delivery is a "tocol microemulsion". These vehicles for drug delivery are translucent and isotropic, of small mean droplet diameter, preferably less than about 150 nm, even more preferably less than about 100 nm, and most preferably from about 30 to 90 nm. They possess high drug solubilization capacity (a relative measure for each individual drug), and most characteristically have extended or indefinite stability on storage by virtue of their thermodynamic stability, which is preferably greater than 1 year, even more preferably greater than two years or more. The microemulsions of the current invention have a surfactant to oil ratio of about 1:1 to 1:5, preferably from about 1:1 to 1:2 and are frequently formulated with one or more co-solvents or co-surfactants to improve processing. Unlike vegetable oil microemulsions, which form spontaneously, tocol microemulsions are formed by homogenization in a high-shear device because of the extremely high viscosity of these excipients. However, once formed, they are essentially transparent or translucent, and highly stable. They preferably exhibit no particle size growth over a typical pharmaceutical shelf life of one year or more.

Self-Emulsifying Drug Delivery Systems (SEDDS): In the absence of an aqueous phase, mixtures of oil(s) and non-ionic surfactant(s) form clear and isotropic solutions that are known as self-emulsifying drug delivery systems (SEDDS). They have successfully been used to improve lipophilic drug dissolution and oral absorption. Such systems are essentially precursors of emulsion-type multiphasic systems.

Pegylated: Pegylated or ethoxylated means polyethylene glycol subunits attached to a given compound via a chemical linkage.

Aqueous Medium: A water-containing liquid which can contain pharmaceutically acceptable additives such as acidifying, alkalizing, buffering, chelating, complexing and solubilizing agents, antioxidants and antimicrobial preservatives, humectants, suspending and/or viscosity modifying agents, tonicity and wetting or other biocompatible materials.

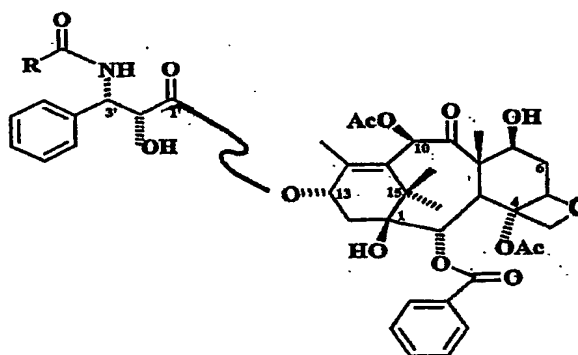
Therapeutic Agent: Any compound natural or synthetic which has a biological activity, is soluble in the oil phase and has an octanol-buffer partition coefficient (Log P) of at least 2 to ensure that the therapeutic agent is preferentially dissolved in the oil phase rather than the aqueous phase. This includes peptides, non-peptides, and nucleotides.



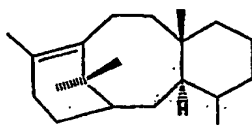
Hydrophobic derivatives of water soluble molecules such as fatty acid and lipid conjugates/prodrugs are within the scope of therapeutic agent.

Chemotherapeutic: Any natural or synthetic molecule which is effective against one or more forms of cancer, and particularly those molecules which are slightly or completely lipophilic or which can be modified to be lipophilic. This definition includes molecules which by their mechanism of action are cytotoxic (anti-cancer agents), those which stimulate the immune system (immune stimulators) and modulators of angiogenesis. The outcome in either case is the slowing of the growth of cancer cells.

Chemotherapeutics include paclitaxel and related molecules collectively termed taxoids, taxines or taxanes. The structure of paclitaxel is shown below:



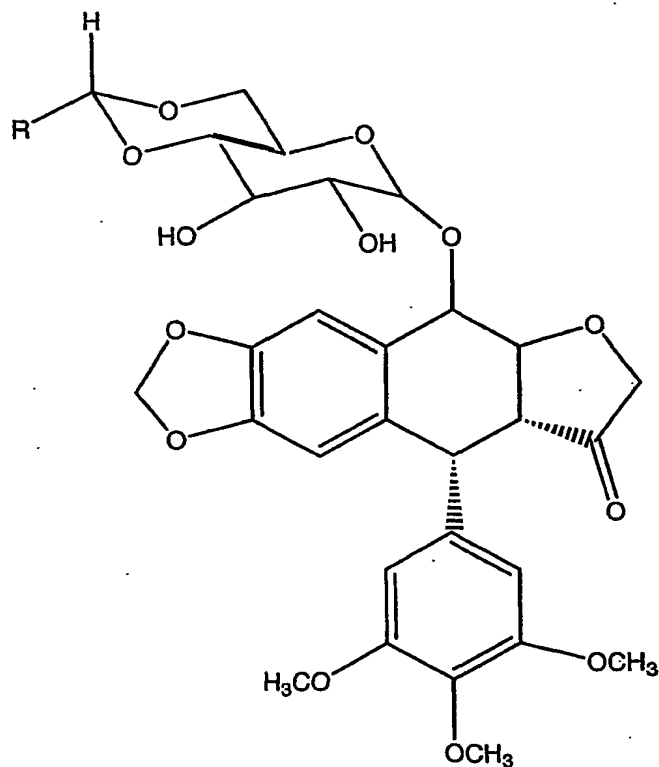
Included within the definition of "taxoids" are various modifications and attachments to the basic ring structure (taxoid nucleus) as may be shown to be efficacious for reducing cancer cell growth and to partition into the oil (lipid phase) and which can be constructed by organic chemical techniques known to those skilled in the art. These include but are not limited to benzoate derivatives of paclitaxel, such as 2-debenzoyl-2-aroyle and C-2-acetoxy-C-4-benzoate paclitaxel, 7-deocytaxol, C-4 aziridine paclitaxel, particularly the methyl carbonate derivative of paclitaxel, also known as BMS-188797, as well as various paclitaxel conjugates with natural and synthetic polymers, particularly with fatty acids, phospholipids, and glycerides and 1,2-diacyloxypropane-3-amine. Docetaxel (Taxotere) is also a preferred taxane. The structure of the taxoid nucleus is shown below:



Also included within the scope of the present invention are natural products that share structural similarities with paclitaxel, i.e., they incorporate a common pharmacophore proposed for microtubule-stabilizing agents. These compounds include, but are not limited to, epothilone A and B, discodermolide, nonataxel and eleutherobin (*Chem. Eng. News* (1999) 77(17):35-36).

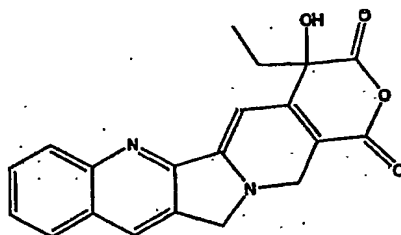
Chemotherapeutics include podophyllotoxins and their derivatives and analogues. The core ring structure of these molecules is shown below:

10



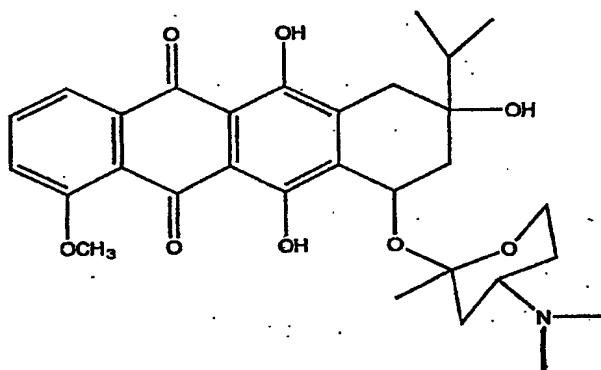
Another important class of chemotherapeutics useful in this invention are camptothecins, the common ring structure of which is shown below, including any

derivatives and modifications to this basic structure which retain efficacy and preserve the lipophilic character of the molecule shown below:



5

Another preferred class of chemotherapeutics useful in this invention are the lipophilic anthracyclines, the basic ring structure of which is shown below:



10

Suitable lipophilic modifications of the above structure include substitutions at the ring hydroxyl group or sugar amino group.

Another important class of chemotherapeutics are compounds which are lipophilic or can be made lipophilic by molecular chemosynthetic modifications well known to those skilled in the art, for example by combinatorial chemistry and by molecular modelling, and are drawn from the following list: Taxotere, Amonafide, Illudin S, 6-hydroxymethylacylfulvene Bryostatin 1, 26-succinylbryostatin 1, Palmitoyl Rhizoxin, DUP 941, Mitomycin B, Mitomycin C, Penclomedine, Interferon  $\alpha 2b$ , angiogenesis inhibitor compounds, Cisplatin hydrophobic complexes, such as 2-hydrazino-4,5-dihydro-1H-imidazole with platinum chloride, and 5-hydrazino-3,4-dihydro-2H-pyrrole

20

with platinum chloride, vitamin A, vitamin E and its derivatives, particularly tocopherol succinate.

Other compounds useful in the invention include: 1,3-bis(2-chloroethyl)-1-nitrosurea ("carmustine" or "BCNU"), 5-fluorouracil, doxorubicin ("adriamycin"),  
5 epirubicin, aclarubicin, Bisantrene (bis(2-imidazolen-2-yl)hydrazone)-9,10-anthracenedicarboxaldehyde), mitoxantrone, methotrexate, edatrexate, muramyl tripeptide, muramyl dipeptide, lipopolysaccharides, 9-b-d-arabinofuranosyladenine ("vidarabine") and its 2-fluoro derivative, resveratrol, retinoic acid and retinol, carotenoids, and tamoxifen.

10 Other compounds useful in the application of this invention include: Decarbazine, Lonidamine, Piroxantrone, Anthrapyrazoles, Etoposide, Camptothecin, 9-aminocamptothecin, 9-nitrocamptothecin, camptothecin-11 ("Irinotecan"), Topotecan, Bleomycin, the Vinca alkaloids and their analogs (Vincristine, Vinorelbine, Vindesine, Vintripol, Vinxaltine, Ancitabine), 6-aminochrysene, and navelbine.

15 Other compounds useful in the application of the invention are mimetics of taxol, eleutherobins, sarcodictyins, discodermolides, and epothiolones. Other compounds useful in the invention are microtubule targeting agents. Microtubule targeting agents bind to a protein called tubulin and thus prevent microtubule polymerization. Representative microtubule binding agents include epothilones, elutherobin, and  
20 discodermolide.

Also useful are valproic acid, tacrolimus, rapamycin, clarithromycin, erythromycin, neomycin, bacitracin, thyrotropin, somatostatin, testosterone, progesterone, cortisone, polyketides as a class, quinolones as a class, ciprofloxacin, benzodiazepines as a class, diazepam, calcitriol, clozapine, and androcephin.

25 Taking into account these definitions, the present invention is particularly directed to pharmaceutical compositions in the form of multiphase systems, including emulsions and microemulsions (including "tocol microemulsions" or self-emulsifying drug delivery systems, preferably substantially free of ethanol solvent).

The therapeutic agents of the compositions of this invention can initially be  
30 solubilized in a co-solvent. In the case when ethanol is used as a processing solvent during the preparation of the oil phase, the ethanol is removed and a substantially ethanol-free composition is formed. The ethanol concentration is less than 1 % (w/v),

preferably less than 0.5%, and most preferably less than 0.3%. The therapeutic agents can also be solubilized in methanol, propanol, chloroform, isopropanol, butanol and pentanol. These solvents are also removed prior to use.

In a preferred embodiment, the therapeutic agents of the compositions of the invention can initially be solubilized in non-volatile co-solvents such as benzyl alcohol, benzyl benzoate, dimethylsulfoxide (DMSO), dimethylamide (DMA), propylene glycol (PG), polyethylene glycol (PEG), N-methyl-2-pyrrolidone (NMP), and polyvinylpyrrolidone (PVP). NMP or a water-soluble polymer, such as PEG or PVP (Table 1), are particularly preferred.

A major advantage/improvement of using PEG-400 to solubilize therapeutic agents rather than alcohols such as ethanol is that a volatile solvent does not have to be removed or diluted prior to administration of the therapeutic agent. The final polyethylene glycol levels in the emulsion can be varied from about 1-50%, preferably from about 1-25% and more preferably from about 1-10% (w/w). Suitable polyethylene glycol solvents are those with an average molecular weight between 200 and 600 preferably between 300 and 400 (Table 1). In the case of self-emulsified systems for oral administration, high molecular weight PEGs (1,000-10,000) can also be included as solidification agents to form semi-solid formulations that can be filled into hard gelatin capsules.

Table 1. Physical Properties of Low Molecular Weight Polyethylene Glycols

Physical Property	PEG 200	PEG 300	PEG 400	PEG 600
Molecular Weight	190-210	285-315	380-420	570-630
Viscosity (mPas)	46-53	66-74	85-95	130-150
Refractive Index (25°C)	1.459	1.463	1.465	1.467
Freezing point (°C)	-50	-16 to -12	-3 to 8	15 to 25

Solubilization of the therapeutic agents of the invention in polyethylene glycol or other non-volatile co-solvents (PVP, NMP) avoids the necessity of solubilizing the therapeutic agents of the invention in monohydric alcohols such as ethanol or other volatile solvents. Use of polyethylene glycol or N-methyl-2-pyrrolidone eliminates the need to remove the solvent prior to use of the emulsions therapeutically.

The final polyethylene glycol levels in the emulsion can be varied from 1-50%, preferably from 1-25% and more preferably from 1-10% (w/w).

The compositions of the invention contain tocots (preferably  $\alpha$ -tocopherol) as a carrier for therapeutic drugs, and can be administered to animals or humans via  
5 intravascular, oral, intramuscular, cutaneous and subcutaneous routes. Specifically, the emulsions can be given by any of the following routes, among others: intraabdominal, intraarterial, intraarticular, intracapsular, intracervical, intracranial, intraductal, intradural, intralesional, intralocular, intralumbar, intramural, intraocular, intraoperative, intraparietal, intraperitoneal, intrapleural, intrapulmonary, intraspinal, intrathoracic,  
10 intratracheal, intratympanic, intrauterine, and intraventricular or transdermal. The emulsions of the present invention can be nebulized using suitable aerosol propellants that are known in the art for pulmonary delivery of lipophilic compounds.

In one aspect, the invention is directed to the use of tocots as the hydrophobic dispersed phase of emulsions containing water insoluble, poorly water soluble therapeutic  
15 agents, water soluble ones that have been modified to be less water soluble or mixtures thereof. In a preferred embodiment  $\alpha$ -tocopherol is employed. Also called "vitamin E",  $\alpha$ -tocopherol is not a typical lipid oil. It has a higher polarity than most lipid oils, particularly triglycerides, and is not saponifiable. It has practically no solubility in water.

In a second aspect, the invention is in the form of a self-emulsifying drug delivery  
20 system (SEDDS) where the system is to be used for the oral administration of water insoluble (or poorly water soluble or water soluble agents modified to be less water soluble or mixtures thereof) drugs where that is desired. In this embodiment, an oil phase with surfactant and drug or drug mixture is encapsulated into a soft or hard gelatin capsule. Suitable solidification agents with melting points in the range of 40 to 60°C  
25 such as high molecular weight polyethylene glycols (MW > 1000) and glycerides such as those available under the tradename Gelucire (Gattefosc Corp. Saint Priest, France) can be added to allow filling of the formulation into a hard gelatin capsule at high temperature. Semi-solid formulations are formed upon room temperature equilibration. Upon dissolution of the gelatin in the stomach and duodenum, the oil is released and  
30 forms a fine emulsion with a mean droplet diameter of between 2-5 microns spontaneously. The emulsion is then taken up by the microvilli of the intestine and released into the bloodstream.

In a third aspect, the invention comprises microemulsions containing one or more tocopherols, preferably  $\alpha$ -tocopherol. Microemulsions refer to a sub-class of emulsions where the emulsion suspension is essentially clear and indefinitely stable by virtue of the extremely small size of the oil/drug microaggregates dispersed therein.

5 In a fourth aspect of the invention, PEGylated vitamin E (TPGS) is used as a primary surfactant in emulsions of vitamin E. PEGylated vitamin E is utilized as a primary surfactant, a stabilizer and also as a supplementary solvent in emulsions of vitamin E. Polyethylene glycol (PEG) is also useful as a co-solvent in the emulsions of this invention. Of particular use is polyethylene glycol 200, 300, 400 or mixtures  
10 thereof.

The concentration of  $\alpha$ -tocopherol and/or other tocopherols in the emulsions of this invention can be from about 1 to about 20% w/w. When TPGS is present in the compositions, the ratio of tocopherol to TPGS is optimally from about 1:1 to about 20:1.

15 The emulsions of the invention may further include surfactants such as ascorbyl-6-palmitate, stearylamine, pegylated phospholipids, sucrose fatty acid esters, various tocopherol derivatives and nonionic, synthetic surfactant mixtures, such as polyoxypropylene-polyoxyethylene glycol nonionic block copolymer.

The emulsions of the invention can comprise an aqueous medium. The aqueous  
20 phase generally has an osmolality of approximately 300 mOsm and may include sodium chloride, sorbitol, mannitol, polyethylene glycol, propylene glycol albumin, polypeptide, and mixtures thereof. This medium can also contain various additives to assist in stabilizing the emulsion or in rendering the formulation biocompatible. Acceptable additives include acidifying agents, alkalizing agents, antimicrobial preservatives, antioxidants,  
25 buffering agents, chelating agents, suspending and/or viscosity-increasing agents, and tonicity agents. Preferably, agents to control the pH, tonicity, and increase viscosity are included. Optimally, a tonicity of at least 250 mOsm is achieved with an agent which also increases viscosity, such as sorbitol or sucrose.

The emulsions of the invention for intravenous injection have a particle size  
30 (mean droplet diameter) of 10 to 500 nm, preferably 10 to 200 nm and most preferably 10 to 100 nm. For intravenous emulsions, the spleen and liver will eliminate particles greater than 500 nm in size.

A preferred form of the invention includes paclitaxel, a very water-insoluble cytotoxin used in the treatment of uterine cancer and other carcinomas. An emulsion composition of the present invention comprises a solution of vitamin E containing paclitaxel at a concentration of up to 20 mg/mL, four times that currently available by prescription, and a biocompatible surfactant such that the emulsion microdroplets are less than 0.2 microns and are terminally sterilizable by filtration.

Preferred injectable compositions contain: 0.1-1.0% paclitaxel (1-10 mg/ml); 1-10% PEG 400; 1-20% vitamin E; 1-10% TPGS; and 0.5-2.5% Poloxamer 407. Another preferred composition contains: 1.0% paclitaxel (10 mg/ml); 6% PEG400; 8% vitamin E; 5% TPGS, 1% Pluronic F127; and 80% aqueous solution.

Preferred formulations for self-emulsifying systems are as follows: 0.1-20% paclitaxel, 10-90% vitamin E, 10-90% PEG 400 or N-methyl-2-pyrrolidone, 5-50% TPGS, 5-50% a secondary hydrophilic surfactant, such as Polysorbates (Tween 80), Pluronics (Pluronic F127) or Cremophor EL/RH40, Solutol HS-15. The oil phase (vitamin E) can optionally contain polyvinylpyrrolidone, glycerol and propylene glycol esters, such as mono-/di-/triglycerides and mono-diesters of propylene glycol. In addition, high molecular weight PEGS (1,000-10,000 MW) and high melting point glycerol esters can be included to provide the formulation with semisolid consistency.

A further embodiment of the invention is a method of treating carcinomas comprising the parenteral administration of a bolus dose of paclitaxel in vitamin E emulsion with or without PEGylated vitamin E by intravenous injection once daily or every second day over a therapeutic course of several weeks. Such method can be used for the treatment of carcinomas of the breast, lung, skin and uterus.

The general principles of the present invention may be more fully appreciated by reference to the following non-limiting examples.

## EXAMPLES

### Example 1

#### Dissolution of Paclitaxel in $\alpha$ -Tocopherol

$\alpha$ -Tocopherol was obtained from Sigma Chemical Company (St. Louis, MO) in the form of a synthetic dl- $\alpha$ -tocopherol of 95% purity prepared from phytol. The oil was amber in color and very viscous. Paclitaxel was purchased from Hauser Chemical Research (Boulder, CO), and was 99.9% purity by HPLC. Paclitaxel 200 mg was



dissolved in 6 mL of dry absolute ethanol (Spectrum Chemical Manufacturing Corp., Gardenia, CA) and added to 1 gm  $\alpha$ -tocopherol. The ethanol was then removed by vacuum at 42°C until the residue was brought to constant weight. Independent studies showed that the ethanol content was less than 0.3% (w/v).

- 5           The resultant solution was clear, amber and very viscous, with a nominal concentration of 200 mg/gm (w/w) paclitaxel in  $\alpha$ -tocopherol. Higher concentrations of paclitaxel (up to 400 mg/gm, w/w) can be solubilized in  $\alpha$ -tocopherol.

#### Example 2

##### Anionic Surfactant Used To Prepare $\alpha$ -Tocopherol Emulsions

- 10           Paclitaxel 2 gm in 10 gm of  $\alpha$ -tocopherol, prepared as described in Example 1, was emulsified with ascorbyl palmitate as the triethanolamine salt by the following method. A solution consisting of ascorbic acid 20 mM was buffered to pH 6.8 with triethanolamine as the free base to form 2x buffer. 50 mL of the 2x buffer was placed in a Waring blender. 0.5 gm of ascorbyl-6-palmitate (Roche Vitamins and Fine Chemicals,  
15   Nutley, NJ), an anionic surfactant, was added and the solution blended at high speed for 2 min at 40°C under argon. The  $\alpha$ -tocopherol containing paclitaxel was then added into the blender with the surfactant and buffer. Mixing was continued under argon until a coarse, milky, pre-emulsion was obtained, approximately after 1 min at 40°C. Water for injection was then added, bringing the final volume to 100 mL.

- 20           The pre-emulsion was transferred to the feed vessel of a Microfluidizer Model 110Y (Microfluidics Inc, Newton, MA). The unit was immersed in a bath to maintain a process temperature of approximately 60°C during homogenization, and was flushed with argon before use. After priming, the emulsion was passed through the homogenizer in continuous re-cycle for 10 minutes at a pressure gradient of about 18 kpsi across the  
25   interaction head. The flow rate was about 300 mUmin, indicating that about 25 passes through the homogenizer resulted.

The resultant paclitaxel emulsion in an  $\alpha$ -tocopherol vehicle was bottled in amber vials under argon and stored with refrigeration at 7°C and 25°C. Samples were taken at discrete time intervals for particle sizing and chemical analysis.

- 30           Data taken with a Nicomp Model 370 Submicron Particle Sizer (Particle Sizing Systems Inc., Santa Barbara, CA) showed that the emulsion had a mean particle diameter of 280 nm.

## Example 3

## Use of Pegylated Vitamin E (TPGS)

A ternary phase diagram was constructed for  $\alpha$ -tocopherol, PEGylated vitamin E (TPGS, vitamin-E polyoxyethyleneglycol-1000-succinate, obtained from Eastman Chemical Co., Kingsport, TN), and water. TPGS was first melted at 42°C and mixed gravimetrically with  $\alpha$ -tocopherol at various proportions from 1 to 100% TPGS, the balance being  $\alpha$ -tocopherol. Mixtures were miscible at all concentrations. Water was then added to each mixture in such a way that the final water concentration was increased stepwise from zero to 97.5%. At each step, observations were made of the phase behavior of the mixture. As appropriate, mixing was performed by vortexing and sonication, and the mixture was heated or centrifuged to assess its phase composition.

A broad area of biphasic o/w emulsions suitable for parenteral administration was found at water concentrations above 80%. The emulsions formed were milky white, free flowing liquids that contained disperse  $\alpha$ -tocopherol microparticles stabilized by non-ionic surfactant. Also in this area, microemulsions potentially suitable as drug carriers were observed at TPGS to oil ratios above about 1:1. At lower water content, a broad area containing transparent gels (reverse emulsions) was noted. Separating the two areas (high and low water content) is an area composed of opaque, soap-like liquid crystals.

Phase diagrams of  $\alpha$ -tocopherol with surfactant combinations, for example TPGS with a nonionic, anionic or cationic co-surfactant (for example glutamyl stearate, ascorbyl palmitate or Pluronic F-68), or drug can be prepared in a similar manner.

## Example 4

 $\alpha$ -Tocopherol Emulsion For Intravenous Delivery Of Paclitaxel

A formulation of the following composition was prepared:

25	paclitaxel	1.0 gm%
	$\alpha$ -tocopherol	3.0 gm%
	TPGS	2.0 gm%
	ascorbyl-6-palmitate	0.25 gm%
	sorbitol	5.0 gm%
30	triethanolamine	to pH 6.8
	water	qs to 100 mL

The method of preparation was as follows: synthetic  $\alpha$ -tocopherol (Roche Vitamins, Nutley, NJ), paclitaxel (Hauser, Boulder, CO), ascorbyl 6-palmitate (Aldrich Chemical Co., Milwaukee, WI) and TPGS were dissolved in 10 volumes of anhydrous undenatured, ethanol (Spectrum Quality Products, Gardenia, CA) with heating to 40-45°C. The ethanol was then drawn off with vacuum until no more than 0.3% remained by weight.

Pre-warmed aqueous solution containing a biocompatible osmolyte and buffer were added with gentle mixing and a white milk formed immediately. This mixture was further improved by gentle rotation for 10 minutes with continuous warming at 40-45°C. This pre-mixture at about pH 7 was then further emulsified as described below.

The pre-mixture at 40-45°C was homogenized in an Avestin C5 homogenizer (Avestin, Ottawa, Canada) at 26 Kpsi for 12 minutes at 44°C. The resultant mixture contained microparticles of  $\alpha$ -tocopherol with a mean size of about 200 nm. Further pH adjustment was made with an alkaline 1 M solution of triethanolamine (Spectrum Quality Products).

In order to avoid gelation of the TPGS during the early stage of emulsification, all operations were performed above 40°C and care was taken to avoid exposure of the solutions to cold air by covering all vessels containing the mixture. Secondly, less than 2% TPGS should generally be dissolved in  $\alpha$ -tocopherol oil before pre-emulsification, the balance of the TPGS being first dissolved in the aqueous buffer before the pre-emulsion is prepared. The solution gels at concentrations of TPGS higher than 2%.

Physical stability of the emulsion was then examined by placing multiple vials on storage at 4°C and 25°C. Over several months, vials were periodically withdrawn for particle sizing. Mean particle size, as determined with the Nicomp Model 370 (Particle Sizing Systems, Santa Barbara, CA), is shown for the two storage temperatures in FIGURE 1. The particle size distribution was bi-modal.

#### Example 5

##### Chemical Stability of Paclitaxel In An $\alpha$ -Tocopherol Emulsion

After emulsification, the formulation of Example 4 was analyzed for paclitaxel on a Phenosphere CN column (5 microns, 150 x 4.6 mM). The mobile phase consisted of a methanol/water gradient, with a flow rate of 1.0 mL/min. A UV detector set at 230 nm was used to detect and quantitate paclitaxel. A single peak was detected (FIGURE 2),

which had a retention time and mass spectrogram consistent with native reference paclitaxel obtained from Hauser Chemical (Boulder, CO).

Chemical stability of the emulsion of Example 4 was examined by HPLC during storage. The data of FIGURE 3 demonstrate that paclitaxel remains stable in the emulsion for periods of at least 3 months, independent of the storage temperature. Taken together, the data of FIGURES 2 and 3 demonstrate successful retention of drug potency and emulsion stability when stored at 4°C for a period of at least 3 months.

#### Example 6

##### Paclitaxel Emulsion Formulation QWA

10 An emulsion of paclitaxel 10 mg/ml for intravenous drug delivery, having the following composition, was prepared as described in Example 4.

	paclitaxel	1.0 gm%
	$\alpha$ -tocopherol	3.0 gm%
	TPGS	1.5 gm%
15	ascorbyl-6-palmitate	0.25 gm%
	sorbitol	4.0 gm%
	triethanolamine	to pH 6.8
	water	qs to 100 mL

#### Example 7

##### 20 Paclitaxel Emulsion Formulation QWB

A second emulsion of paclitaxel 10 mg/ml for intravenous drug delivery, having the following composition, was prepared as described in Example 4.

	paclitaxel	1.0 gm%
	$\alpha$ -tocopherol	3.0 gm%
25	TPGS	1.5 gm%
	Solutol HS-1	1.0 gm%
	sorbitol	4.0 gm%
	triethanolamine	to pH 6.8
	water	qs to 100 mL

30 Solutol HS-15 is a product of BASF Corp, Mount Olive, NJ.

## Example 8

## Paclitaxel Emulsion Formulation QWC

A third emulsion formulation of paclitaxel 10 mg/ml was prepared as follows using Poloxamer 407 (BASF Corp., Parsippany, NJ) as a co-surfactant.

5	paclitaxel	1.0 gm%
	$\alpha$ -tocopherol	6.0 gm%
	TPGS	3.0 gm%
	Poloxamer 407	1.0 gm%
	sorbitol	4.0 gm%
10	triethanolamine	to pH 6.8
	water for injection	qs to 100 mL

In this example, 1.0 gm Poloxamer 407 and 1.0 gm paclitaxel were dissolved in 6.0 gm  $\alpha$ -tocopherol with ethanol 10 volumes and gentle heating. The ethanol was then removed under vacuum. Separately, an aqueous buffer was prepared by dissolving 3.0 gm TPGS and 4.0 gm sorbitol in a final volume of 90 mL water for injection. Both oil and water solutions were warmed to 45°C and mixed with sonication to make a pre-emulsion. A vacuum was used to remove excess air from the pre-emulsion before homogenization.

Homogenization was performed in an Avestin C5 as already described. The pressure differential across the homogenization valve was 25 kpsi and the temperature of the feed was 42°-45°C. A chiller was used to ensure that the product exiting the homogenizer did not exceed a temperature of 50°C. Flow rates of 50 mL/min were obtained during homogenization. After about 20 passes in a recycling mode, the emulsion became more translucent. Homogenization was continued for 20 min. Samples were collected and sealed in vials as described before. A fine  $\alpha$ -tocopherol emulsion for intravenous delivery of paclitaxel was obtained. The mean particle diameter of the emulsion was 77 nm. Following sterile filtration through a 0.22 micron Durapore filter (Millipore Corp., Bedford, MA), the emulsion was filled in vials and stored at 4°C until used for intravenous injection.

## Example 9

## Paclitaxel Emulsion Formulation QWC (5 mg/ml)

An additional emulsion of paclitaxel was prepared as described in Example 8 but incorporating 5 instead of 10 mg/ml of the drug. The composition of this emulsion is as follows:

	paclitaxel	0.5 gm%
	$\alpha$ -tocopherol	6.0 gm%
	TPGS	3.0 gm%
	Poloxamer 407	1.0 gm%
10	sorbitol	4.0 gm%
	triethanolamine	to pH 6.8
	water for injection	qs to 100 mL

Following homogenization as described in Example 8, a somewhat translucent emulsion of  $\alpha$ -tocopherol and paclitaxel with a mean particle diameter of 52 nm was obtained. Following sterile filtration through a 0.22 micron Durapore filter (Millipore Corp., Bedford, MA), the emulsion was filled in vials and stored at 4°C until used for intravenous injection. Drug losses on filtration were less than 1%.

## Example 10

## Paclitaxel Emulsion Formulation QWD

A fifth emulsion of  $\alpha$ -tocopherol for intravenous administration of paclitaxel was prepared as follows:

	paclitaxel	0.5 gm%
	$\alpha$ -tocopherol	6.0 gm%
	TPGS	3.0 gm%
25	Poloxamer 407	1.5 gm%
	polyethyleneglycol 200	0.7 gm%
	sorbitol	4.0 gm%
	triethanolamine	to pH 6.8
	water for injection	qs to 100 mL

Synthetic  $\alpha$ -tocopherol USP-FCC obtained from Roche Vitamins (Nutley, NJ) was used in this formation. Polyethyleneglycol 200 (PEG-200) was obtained from Sigma Chemical Co.

Following homogenization, a somewhat translucent emulsion with a mean particle diameter of 60 nm was obtained. Following 0.22  $\mu$ m sterile filtration through a 0.22 micron Durapore filter (Millipore Corp., Bedford, MA), the emulsion was filled in vials and stored at 4°C until used for intravenous injection. Drug losses on filtration were less than 1%.

#### Example 11

##### Dissolution of Paclitaxel in TPGS and Preparation of Micellar Solutions

We observed good solubility of paclitaxel in TPGS, about 100 mg drug per 1.0 gm of TPGS. Micellar solutions of TPGS containing paclitaxel were prepared as follows. A stock solution of paclitaxel in TPGS was made up by dissolving 90 mg paclitaxel in 1.0 gm TPGS at 45°C with ethanol, which was then removed under vacuum. Serial dilutions were then prepared by diluting the paclitaxel stock with additional TPGS to obtain paclitaxel in TPGS at concentrations of 0.1, 1, 5, 10, 25, 50, 75 and 90 mg/mL. Using fresh test tubes, 100 mg of each paclitaxel concentration in TPGS was dissolved in 0.9 mL water. All test tubes were mixed by vortex and by sonication at 45°C. Clear micellar solutions in water were obtained corresponding to final paclitaxel concentrations of 0.01, 0.1, 0.5, 1.0, 2.5, 5.0, 7.5 and 9.0 mg/mL.

A Nicomp Model 370 laser particle sizer (Particle Sizing Systems, Santa Barbara CA) was used to examine the solutions. Particle sizes on the order of 10 nm were obtained, consistent with the presence of micelles of TPGS and paclitaxel.

Micellar solutions of paclitaxel in TPGS containing up to 2.5 mg/mL paclitaxel were stable for at least 24 hrs whereas those at 5.0, 7.5 and 9.0 mg/mL were unstable and drug crystals formed rapidly and irreversibly. These observations imply that paclitaxel remains solubilized only in the presence of an  $\alpha$ -tocopherol-rich domain within the emulsion particles. Thus, an optimum ratio of  $\alpha$ -tocopherol to TPGS is needed in order to produce emulsions in which higher concentrations of paclitaxel can be stabilized.

When adjusted to the proper tonicity and pH, micellar solutions have utility for slow intravenous drip administration of paclitaxel to cancer patients, although the AUC is expected to be low.

The utility of TPGS in  $\alpha$ -tocopherol emulsions is a synergy of several desirable characteristics. First, it has its own affinity for paclitaxel, probably by virtue of the  $\alpha$ -tocopherol that makes up the hydrophobic portion of its molecular structure. Secondly,

interfacial tension of TPGS in water with  $\alpha$ -tocopherol is about 10 dynes/cm, sufficient to emulsify free  $\alpha$ -tocopherol, especially when used with a co-surfactant. Third, polyoxyethylated surfactants such as TPGS, have well established, superior properties as a "stealth coat" for injectable particles, by dramatically reducing trapping of the particles in the liver and spleen, as is well known in the art. But the unexpected and unique finding with TPGS as a surfactant for  $\alpha$ -tocopherol emulsions, was the finding of all three desirable characteristics in a single molecule. An additional advantage of TPGS is the fact that it forms stable self-emulsifying systems in mixtures with oils and solvents such as propylene glycol and polyethylene glycol, suggesting a synergy when used with  $\alpha$ -tocopherol for oral drug delivery.

When adjusted to the proper tonicity and pH, micellar solutions have utility for slow IV drip administration of paclitaxel to cancer patients, although the AUC is expected to be low.

#### Example 12

##### Paclitaxel Emulsion Formulation (20 mg/ml)

A coarse, emulsion containing 20 mg/mL paclitaxel in  $\alpha$ -tocopherol was obtained with 5%  $\alpha$ -tocopherol and 5% TPGS by the methods described in Example 4, simply by increasing the concentrations. No effort was made to test higher concentrations simply because no further increase is necessary for clinically useful intravenous emulsions.

#### Example 13

##### Use of Other PEG Surfactants in $\alpha$ -Tocopherol Emulsions

A variety of other pegylated surfactants, for example Triton X-100, PEG 25 propylene glycol stearate, Brij 35 (Sigma Chemical Co.), Myrj 45, 52 and 100, Tween 80 (Spectrum Quality Products), PEG 25 glyceryl trioleate (Goldschmidt Chemical Corp., Hopewell, VA), have utility in emulsifying  $\alpha$ -tocopherol.

However, experiments with some other pegylated surfactants failed to convincingly stabilize paclitaxel in an  $\alpha$ -tocopherol emulsion. To demonstrate the unique utility of TPGS, three emulsions were prepared as described in Example 9, but Tween 80 and Myrj 52 were substituted for TPGS as the primary surfactant in separate emulsions. These two surfactants were chosen because Tween 80 and Myrj 52 have HLB values essentially equivalent to TPGS and make reasonably good emulsions of  $\alpha$ -tocopherol. However, when 5 mg/mL paclitaxel was included in the formulation, drug crystallization



was noted very rapidly after preparation of the pre-emulsion, and the processed emulsions of Tween 80 and Myrj 52 were characterized as coarse, containing rod-shaped particles up to several microns in length, consistent with crystals of paclitaxel. Unlike the TPGS emulsion, which passed readily through a 0.22 micron filter with less than 1% loss of drug, the Tween and Myrj emulsions were unfilterable because of the presence of this crystalline drug material.

There are several possible explanations for the unexpected improvement of the  $\alpha$ -tocopherol paclitaxel emulsions with TPGS. The drug has good solubility in TPGS, up to about 100 mg/mL. Most likely it is the strength of the affinity of paclitaxel benzyl side chains with the planar structure of the  $\alpha$ -tocopherol phenolic ring in the TPGS molecule that stabilizes the complex of drug and carrier. In addition the succinate linker between the  $\alpha$ -tocopherol and PEG tail is a novel feature of this molecule that distinguishes its structure from other PEGylated surfactants tested.

#### Example 14

##### Poloxamer-Based $\alpha$ -Tocopherol Emulsion

15	$\alpha$ -tocopherol	6.0 GM%
	Poloxamer 407	2.5 gm%
	ascorbyl palmitate	0.3 gm%
	sorbitol	6.0 gm%
20	triethanolamine	to pH 7.4
	water	qs to 100 mL

An  $\alpha$ -tocopherol emulsion was prepared using Poloxamer 407 (BASF) as the primary surfactant. The white milky pre-mixture was homogenized with continuous recycling for 10 minutes at 25 Kpsi in a C5 homogenizer (Avestin, Ottawa, Canada) with a feed temperature of 45°C and a chiller loop for the product out set at 15°C. A fine, sterile filterable emulsion of  $\alpha$ -tocopherol microparticles resulted. However, when this formulation was made with paclitaxel, precipitation of the paclitaxel was noted following overnight storage in the refrigerator, again underlying the superior utility of TPGS as the principle surfactant.

## Example 15

## Lyophilized Emulsion Formulation

Maltrin M100 (Grain Processing Corporation, Muscatine, IA) was added as a 2x stock in water to the emulsion of Example 14. Aliquots were then frozen in a shell  
5 freezer and lyophilized under vacuum. On reconstitution with water, a fine emulsion was recovered.

Lyophilized formulations have utility where the indefinite shelf life of a lyophilized preparation is preferred. Lyophilizable formulations containing other saccharides, such as mannitol, albumin or PolyPep from Sigma Chemicals, St. Louis, Mo.  
10 can also be prepared.

## Example 16

*In vitro* Release of Paclitaxel From  $\alpha$ -Tocopherol Emulsions

One of the desired characteristics of a drug delivery vehicle is to provide sustained release of the incorporated drug, a characteristic quite often correlated with  
15 improved pharmacokinetics and efficacy. In particular, long-circulating emulsions of paclitaxel can improve the delivery of the drug to cancer sites in the body. We have surprisingly found that the emulsions of the present invention do provide sustained release of paclitaxel when compared to the only FDA-approved formulation of paclitaxel at this time [Taxol<sup>®</sup>, Bristol Myers Squibb (BMS), Princeton, NJ]. Emulsions were  
20 prepared having paclitaxel concentrations of 6 mg/mL (QWA) and 7 mg/mL (QWB). For comparison, Taxol<sup>®</sup> contains 6 mg/ml of paclitaxel dissolved in ethanol: cremophore EL 1:1 (v/v). *In vitro* release of paclitaxel from the different formulations into a solution of phosphate-buffered saline (PBS) at 37°C was monitored using a dialysis membrane that is freely permeable to paclitaxel (MW cut-off of 10 kilodaltons). Quantification of  
25 the drug in pre- and post-dialysis samples was performed by HPLC. Drug release profiles in terms of both percent release and concentration of paclitaxel released over time were generated. As can be seen from the data in FIGURE 4, less than 5% of paclitaxel was dialyzed from the emulsions over 24 hrs, whereas about 12% was recovered outside the dialysis bag from the marketed BMS formulation. This  
30 indicates that drug release from the emulsion was significantly slowed relative to the commercially available solution.

## Example 17

Biocompatibility of  $\alpha$ -Tocopherol Emulsions Containing Paclitaxel

An acute single-dose toxicity study was performed. Mice 20-25 gm each were purchased and acclimatized in an approved animal facility. Groups of mice (n=3) received doses of the formulation containing from 30 to 90 mg/kg paclitaxel in the  $\alpha$ -tocopherol emulsion prepared as described in Example 6. All injections were given intravenously by tailvein bolus.

Although all injections were given by bolus IV push, no deaths or immediate toxicity were observed at any dose, even at 90 mg/kg. The results for body weight are shown in Table 2. Weight loss was 17% in the highest group but all groups, even at 90 mg/kg, recovered or gained body weight over a period of 10 days post injection.

A vehicle toxicity study was also done. Animals receiving drug-free emulsion grew rapidly, and gained slightly more weight than animals receiving saline or not injected. This was attributed to the vitamin and calorie content of the formulation.

We observed a maximal tolerable dose (MTD) for paclitaxel of greater than 90 mg/kg (Table 2), with no adverse reactions noted. This is more than double the best literature values reported, in which deaths were observed at much smaller doses. The FDA-approved formulation of Taxol<sup>®</sup> causes death in mice at bolus intravenous doses of 10 mg/kg, a finding repeated in our hands. In the rat, Taxol<sup>®</sup> was uniformly fatal at all dilutions and dose regimes we tested. In contrast, the composition of Example 6 was well tolerated in rats, and is even improved over Taxotere, a less toxic paclitaxel analogue commercially marketed by Rhone-Poulenc Rorer.

One possible explanation for the high drug tolerance is that the emulsion is behaving as a slow-release depot for the drug as suggested from the in vitro release data in Example 16.

Table 2. Average Body Weight Change of Mice Treated with Paclitaxel Emulsion

Treatment (dose, mg/kg)	Number of Animals	BW Change (gm)	
		Day 2	Day 7
Saline	4	1.0	3.4
Vehicle	4	1.2	3.5

Treatment (dose, mg/kg)	Number of Animals	BW Change (gm)	
		Day 2	Day 7
Paclitaxel Emulsion (QWA) (36.3)	2	-1.0	2.2
Paclitaxel Emulsion (QWA) (54.4)	4	-1.8	1.7
Paclitaxel Emulsion (QWA) (72.6)	4	-1.5	1.6
Paclitaxel Emulsion (QWA) (90.7)	1		-1.6

### Example 18

#### Efficacy Evaluation of Paclitaxel Emulsion

The paclitaxel emulsion of Example 6 was also evaluated for efficacy against staged B16 melanoma tumors in nude mice and the data is shown in Table 3. Once again, the marketed product Taxol® was used as a reference formulation. Tumor cells were administered subcutaneously and therapy started by a tail vein injection at day 4 post-tumor administration at the indicated dosing schedule. Efficacy was expressed as percent increase in life-span (% ILS).

The following conclusions can be drawn from the data in Table 3: a) an increased life span of about 10% was obtained by administration of Taxol® at 10 mg/kg Q2Dx4, b) %ILS values improved to 30-50% by administration of the ( $\alpha$ -tocopherol emulsion of paclitaxel at 30, 40 or 50 mg/kg Q2Dx4, dose levels made possible by the higher MTD, c) a nice dose response was observed when the emulsion was administered at 30, 50 and 70 mg/kg Q4Dx3, with about 80% ILS being observed at 70 mg/kg and, d) even at 90 mg/kg dosed only once at day 4, there was about 36% ILS. These data clearly illustrate the potential of the emulsions of the present invention to substantially improve the efficacy of paclitaxel.

## Example 19

## Efficacy Evaluation of Paclitaxel Emulsions

The emulsions of Examples 6, 7 and 8 (QWA, QWB, and QWC, respectively) were compared for efficacy against B16 melanoma in mice; Taxol® was again used as a reference formulation. Methods essentially identical to those of Example 18 were used. The data from this study is summarized in Table 3. Efficacy was expressed as: a) percent tumor growth inhibition (% T/C, where T and C stand for treated and control animals, respectively); b) tumor growth delay value (T-C), and c) log cell kill which is defined as the ratio of the T-C value over  $3.32 \times$  tumor doubling time. The latter parameter for this particular tumor model was calculated to be 1.75 days. As can be seen from the results in Table 4, all measures of efficacy: tumor growth inhibition, tumor growth delay value and log cell kill demonstrate superior efficacy of  $\alpha$ -tocopherol emulsions as a drug delivery vehicle over Taxol®, particularly when the emulsions were dosed every four days at 70 mg/kg. As explained in Example 16, this increased efficacy is likely a result of improved drug biocompatibility and/or sustained release.

Table 3. Survival of mice with b16 tumors treated with QWA and Taxol®

Treatment Group & Schedule	Mean Survival Time, Days (Mean $\pm$ S.E.M <sup>a</sup> )	%ILS <sup>b</sup> (vs vehicle) (Mean $\pm$ S.E.M)
Vehicle Control (Days 4, 8, 12)	13.2 $\pm$ 0.9	----
Saline Control (Days 4, 8, 12)	15.8 $\pm$ 1.2	19.7 $\pm$ 8.6
Taxol® (10 mg/kg) (Days 4, 6, 8, 10)	16.4 $\pm$ 0.7	24.2 $\pm$ 5.4
QWA (30 mg/kg) (Days 4, 6, 8)	19.2 $\pm$ 1.4	45.4 $\pm$ 10.3
QWA (40 mg/kg) (Days 4, 6, 8)	21.3 $\pm$ 1.4	61.4 $\pm$ 10.3
QWA (50 mg/kg) (Days 4, 6, 8)	18.8 $\pm$ 0.7	42.4 $\pm$ 5.7
QWA (30 mg/kg) (Days 4, 8, 12)	15.3 $\pm$ 0.8	15.9 $\pm$ 6.4
QWA (50 mg/kg) (Days 4, 8, 12)	20.7 $\pm$ 1.3	56.8 $\pm$ 9.5
QWA (70 mg/kg) (Days 4, 8, 12)	24.2 $\pm$ 0.9	83.3 $\pm$ 6.4
QWA (90 mg/kg) (Day 4 only)	18.0 $\pm$ 0.6	36.4 $\pm$ 4.4

<sup>a</sup>SEM = Standard Error of Mean

<sup>b</sup>%ILS = % Increase in Lifespan =  $[(T-C)/C] \times 100$  where:

T = mean survival of treated

5

C = mean survival of control

according to the NO standards an ILS value greater than 50% indicates significant antitumor activity.

Table 4. Comparison of Paclitaxel Emulsions and Taxol  
Against Early-Stage B16 Melanoma

Test Article	Dosage mg/kg/day	Dosing Schedule (days)	Total Dose (mg/kg)	Median tumor wt. on day 15 (mg)	Median tumor wt. on day 18 mg (range)	% T/C Day 15	T-C (days)	Log cell kill total
Control	0	4,6,8,10	0	836	2139	---	---	---
Taxol®	20	4,6,8,10	80	383	1217	46	2	0.34
QWA	20	4,6,8,10	80	381	1197	46	2	0.34
QWA	40	4,6,8,10	160	104	306	12	7	1.2
QWA	70	4,8,12,16,20	350	15	11	~2		
QWB	20	4,6,8,10	80	197	653	24	5	0.86
QWB	30	4,6,8,10	120	139	449	17	5	0.86
QWB	40	Toxic						
QWC	20	4,6,8,10	80	319	848	34	3	0.52
QWC	40	4,6,8,10	160	53	194	6	8	1.4
QWC	70	4,8,12,16,20	350	33	66	4	>15	>2.6

10

Tumor Doubling Time calculated to be 1.75 days.

% T/C = Tumor Growth Inhibition (Day 15) =  $(\text{median tumor wt. of treated} / \text{median tumor wt. control}) \times 100$

T-C = Tumor Growth Delay value = median time for treatment group (T) and control group (C) tumors to reach a predetermined size (usually 750 - 1000 mg)

Log cell kill = (T-C value)/(3.32 x tumor doubling time)

#### Example 20

##### 5 Self-Emulsification of an $\alpha$ -Tocopherol/Tagat to Mixture

$\alpha$ -Tocopherol 2.0 gm and Tagat TO (Goldschmidt Chemical Corp., Hopewell, VA) 800 mg were dissolved together. About 80 mg of the oily mixture was transferred to a test tube and water was then added. With gentle hand mixing, there was immediate development of a rich milky emulsion, consistent with "self-emulsifying systems" proposed as drug delivery systems, in which surfactant-oil mixtures spontaneously form an emulsion upon exposure to aqueous media.

#### Example 21

##### Self-Emulsifying Formulation Containing Paclitaxel

Paclitaxel 50 mg/ml was prepared in  $\alpha$ -tocopherol by the method described in Example 1. Tagat TO 20% (w/w) was added. The resultant mixture was clear, viscous and amber in color. A 100 mg quantity of the oily mixture was transferred to a test tube. On addition of 1 mL of water, with vortex mixing, a fine emulsion resulted.

#### Example 22

##### Self-Emulsifying Formulation of Paclitaxel

Paclitaxel 50 mg/ml was prepared in  $\alpha$ -tocopherol by the method described in Example 1. After removal of the ethanol under vacuum, 20% TPGS and 10% polyoxyethyleneglycol 200 (Sigma Chemical Co.) were added by weight. A demonstration of the self-emulsification ability of this system was then performed by adding 20 mL of deionized water to 100 mg of the oily mixture at 37°C. Upon gentle mixing, a white, thin emulsion formed, consisting of fine emulsion particles demonstrated with the Malvern Mastersizer (Malvern Instruments, Worcester, MA) to have a mean size of 2 microns, and a cumulative distribution 90% of which was less than 10 microns.

#### Example 23

##### Etoposide Emulsion Formulation in $\alpha$ -Tocopherol

Etoposide 4 mg (Sigma Chemical Co) was dissolved in the following surfactant-oil mixture:

etoposide	4 mg
$\alpha$ -tocopherol	300 mg
TPGS	50 mg
Poloxamer 407	50 mg

5           Ethanol and gentle warming was used to form a clear amber solution of drug in oil. The ethanol was then removed under vacuum.

          A pre-emulsion was formed by adding 4.5 mL of water containing 4% sorbitol and 100 mg TPGS at 45°C with sonication. The particle size was further reduced by processing in an Emulsiflex 1000 (Avestin, Ottawa Canada). The body of the  
10   Emulsiflex 1000 was fitted with a pair of 5 mL syringes and the entire apparatus heated to 45°C before use. The 5 mL of emulsion was then passed through it by hand approximately 10 times. A free flowing, practical emulsion of etoposide in an  $\alpha$ -tocopherol vehicle resulted.

          We note that the solubilized form of etoposide in  $\alpha$ -tocopherol can also be used as  
15   an oral dosage form by adaption of the methods of the preceding examples.

#### Example 24

##### Dissolution of Ibuprofen or Griseofulvin in $\alpha$ -Tocopherol

          Ibuprofen is a pain-killer and may be administered by injection when required if there is danger that the drug will irritate the stomach. The following solution of  
20   ibuprofen in  $\alpha$ -tocopherol may be emulsified for intravenous administration.

          Ibuprofen (Sigma Chemicals), 12 mg. crystalline, dissolved without solvent in  $\alpha$ -tocopherol, 120 mg, by gentle heating. The resultant 10% solution of ibuprofen in vitamin E can be emulsified by the methods described in Examples 4, 6, 7, 8 or 22.

          An antifungal compound, griseofulvin, 12 mg, was first dissolved in 3 mL of  
25   anhydrous ethanol;  $\alpha$ -tocopherol was then added, 180 mg, and the ethanol was removed with gentle heating under vacuum. The resultant solution of griseofulvin in  $\alpha$ -tocopherol is clear and can be emulsified by the methods described in Examples 4, 6, 7, 8 or 22.

#### Example 25

##### Vitamin E Succinate Emulsion Formulation

30           Vitamin E succinate has been suggested as a therapeutic for the treatment of lymphomas and leukemias and for the chemoprevention of cancer. The following is a composition and method for the emulsification of vitamin E succinate in  $\alpha$ -tocopherol.



Sucrose ester S1170 is a product of Mitsubishi Kagaku Foods Corp., Tokyo, Japan. vitamin E succinate, as the free acid, was obtained as a whitish powder from ICN Biomedicals, Aurora, OH. Emulsions incorporating other surfactants such as pluronics, and TPGS along with  $\alpha$ -tocopherol and  $\alpha$ -tocopherol succinate can be prepared in a similar manner with and without a therapeutic agent.

$\alpha$ -Tocopherol 8 gm and vitamin E succinate 0.8 gm were dissolved together in ethanol in a round bottom flask. After removal of the solvent, 100 mL of an aqueous buffer was added. The alkaline buffer consisting of 2% glycerol, 10 MM triethanolamine, and 0.5 gm% sucrose ester S 1170. After mixing for 2 min, the pre-emulsion was transferred to an Avestin Model C-5 homogenizer and homogenization was continued for about 12 minutes at a process feed temperature of 58°C. The pressure differential across the interaction head was 25 to 26 kpsi. During homogenization, pH was carefully monitored, and adjusted as required to pH 7.0. Care was taken to exclude oxygen during the process. A fine white emulsion resulted.

15

#### Example 26

##### $\alpha$ -Tocopherol Levels in Esters

Levels of  $\alpha$ -tocopherol in commercially available esters: tocopherol-acetate, -succinate, -nicotinate, -phosphate and TPGS were either provided by the vendor or determined by HPLC. The concentration of free  $\alpha$ -tocopherol in these solutions is less than 1.0%, generally less than 0.5%.

20

#### Example 27

##### Resveratrol Emulsion Formulation

Resveratrol is a cancer chemopreventative first discovered as an extract of grape skins. It has been proposed as a dietary supplement.

25

Resveratrol was obtained from Sigma Chemical Co. While it dissolved poorly in ethanol, upon addition of 10 mg resveratrol, 100 mg of  $\alpha$ -tocopherol, 100 mg TPGS and ethanol, a clear solution formed rapidly. Upon removal of the ethanol, a clear amber oil remained.

The oily solution of resveratrol can be formulated as a self-emulsifying system for oral delivery by the various methods of the preceding examples.

30

## Example 28

## Muramyl Dipeptide Formulation

Muramyl dipeptides are derived from mycobacteria and are potent immunostimulants representative of the class of muramyl peptides, mycolic acid and lipopolysaccharides. They have use, for example, in the treatment of cancer, by stimulating the immune system to target and remove the cancer, particularly in connection with anti-cancer vaccines. More recently, muroctasin, a synthetic analog, has been proposed to reduce non-specific side effects of the bacterial wall extracts.

N-acetylmuramyl-6-O-steroyl-1-alanyl-d-isoglutamine was purchased from Sigma Chemical Co. and 10 mg was dissolved in 100 mg  $\alpha$ -tocopherol and 80 mg TPGS. Ethanol was used as a co-solvent to aid in dissolution of the dipeptide, but was removed by evaporation under vacuum, leaving a clear solution in  $\alpha$ -tocopherol and surfactant.

This oil solution of the drug can be emulsified for parenteral administration by the various methods of the preceding examples.

## Example 29

## Alcohol-Containing Emulsion

In attempting to adapt the teachings of PCT WO 95/11039 to the oral administration of paclitaxel, the following formulation was made.

paclitaxel	0.125 gm
$\alpha$ -tocopherol	0.325 gm
TPGS	0.425 gm
ethanol	0.125 gm

As before, paclitaxel was dissolved in a  $\alpha$ -tocopherol and TPGS with ethanol, which was then removed under vacuum. By dry weight, residual ethanol was less than 3 mg (0.3% w/w). Fresh anhydrous ethanol 0.125 gm was then added back to the formulation. After mixing, the suitability of the formulation for oral administration, as in a gelatin capsule, was simulated by the following experiment. An aliquot of 100 mg of the free-flowing oil was added to 20 mL of water at 37°C and mixed gently with a vortex mixer. A fine emulsion resulted. But after twenty minutes, microscopy revealed the growth of large numbers of crystals in rosettes, characteristic of paclitaxel precipitation. It was concluded that this formulation was not suitable for oral administration of paclitaxel because large amounts of the drug would be in the form of crystals on entry

into the duodenum, where it would be prevented from uptake because of its physical form. We speculate that the excess of ethanol, in combination with the high ratio of TPGS to  $\alpha$ -tocopherol, is responsible for the observed crystallization of the drug from this formulation.

5

## Example 30

Alcohol-Containing  $\alpha$ -Tocopherol Emulsion

In attempting to adapt the teachings of PCT WO 95/11039 to the intravenous administration of paclitaxel, the following formulation was made:

10	paclitaxel	0.050 gm
	$\alpha$ -tocopherol	0.100 gm
	lecithin	0.200 gm
	ethanol	0.100 gm
	butanol	0.500 gm

15

As before, paclitaxel was dissolved in  $\alpha$ -tocopherol and TPGS with ethanol, which was then removed under vacuum. By dry weight, residual ethanol was less than 2 mg (0.5% w/w). Fresh anhydrous ethanol 0.100 gm and n-butanol 0.500 gm was then added back to the formulation. A clear oil resulted. The injection concentrate was tested for biocompatibility in administration by standard pharmaceutical practice of admixture with saline. About 200 mg of the oil was placed into 20 mL of saline and mixed. Large flakes of insoluble material developed immediately and the greatest amount of material formed dense deposits on the walls of the test tube. The mixture was clearly unsuitable for parenteral administration by any route, and we speculate that this is so regardless of the identity of the drug contained in the formulation. By trial and error we have learned that lecithin is a poor choice as surfactant for  $\alpha$ -tocopherol by virtue of its low HLB (around 4). Other successful examples described here for fine emulsions suitable for parenteral administration were all made with high HLB surfactants. These surfactants include TPGS (HLB around 17), Poloxamer 407 (HLB about 22) and Tagat TO (HLB about 14.0). In general, we found that  $\alpha$ -tocopherol emulsification is best performed with principal surfactants of HLB>10, preferably greater than 12. Lecithin is not in this class, although it could be used as a co-surfactant. In comparison, typical o/w emulsions of triglycerides are made with surfactants of HLB between 7 and 12, demonstrating that  $\alpha$ -tocopherol emulsions are a unique class by virtue of the polarity and extreme hydrophobicity of the  $\alpha$ -tocopherol, factors that also favor the solubility of lipophilic and

25  
30

slightly polar lipophilic drugs in  $\alpha$ -tocopherol. See *Emulsions: Theory and Practice*, 2nd Ed., p. 248 (1985).

### Example 31

Various Formulations Useful in the Invention (Table 5) are Prepared as Follows:

Table 5

Composition of Injectable Paclitaxel Emulsions		A (split surfactant)		B (all surfactant in oil)	
		Weight (g)	Weight (%)	Weight (g)	Weight (%)
Oil Phase	Paclitaxel	0.50	0.51	0.53	0.52
	PEG 400	6.02	6.04	6.38	6.30
	TPGS	3.78	3.80	5.32	5.25
	Pluronic F127			1.07	1.05
	vitamin E	8.04	8.07	8.51	8.40
Aqueous Phase	TPGS	1.25	1.26		
	Pluronic F127	1.01	1.01		
	Water	79.00	79.31	79.50	78.48
	Total	99.60	100.00	101.30	100.00

#### Formulation A - Split Surfactants:

- 1) 1.25 g TPGS and 1.01 g Pluronic F127 were dissolved in 79.00 g water for injection by heating and stirring.
- 2) 0.533 g paclitaxel was dissolved in 6.354 g PEG 400 by mixing (low shear) at 75°C.
- 3) 3.992 g TPGS and 8.490 g vitamin E were added and mixed (low shear) at 45°C until TPGS was melted and the mixture was visibly homogeneous. This oil phase represents a slight excess in order to account for incomplete transfer in Step 4.
- 4) The aqueous phase (step 1) was heated to 45°C and mixed at medium shear (laboratory mixing motor) while 45°C oil phase (step 2 + 3) was poured in over 1 minute. Mixing was continued 2 minutes more to form a crude emulsion.

5) The emulsion was homogenized in an Avestin C5 in continuous recycle mode for 1 hour at 22 Kpsi peak stroke pressure.

6) Actual amounts and percentages shown in the table are corrected for the incomplete transfer of oil phase during Step 4.

5 This method utilizing the split surfactants is useful in the cases where the solubility of a particular surfactant in the oil phase is limited.

Formulation B - All Surfactants In Oil Phase:

1) 1.066 g paclitaxel was dissolved in 12.887 g PEG400 by mixing (low shear at 75°C.

10 2) 10.739 g TPGS and 2.157 g Pluronic F127 were added and mixed (low shear) at 50-60°C until both surfactants were completely melted/dissolved.

3) 17.176 g vitamin E was added and mixed (low shear) at 45-50°C until the mixture was visibly homogeneous.

4) 21.8 g of the oil phase produced in Steps 1-4 was added over 1 minute to 79.5 g water while mixing at medium shear (laboratory mixing motor). Mixing was continued for a total of 3 minutes to form a crude emulsion.

15 5) Emulsion was homogenized in an Avestin C5 in continuous recycle mode for 30 minutes at 22 K psi peak stroke pressures.

From a processing perspective it is advantageous to have all of the surfactants in the oil phase. Both the dispersion of the pre-emulsion and subsequent homogenization are facilitated and potential gellation of high melting point surfactants, such as TPGS, can be avoided.

Example 32

Etoposide Emulsion

25 A vitamin E emulsion (6.0% vitamin E, 3.5% TPGS, 6.0% PEG400, 8% Pluronic F-127) and incorporating 2 mg/ml of Etoposide was prepared as follows:

1) 0.1044 g of etoposide was dissolved in 3.1435 g of PEG 400 (5 min at 65°C).

2) 2.0447 g of TPGS and 3.1563 g of vitamin E were added and mixed until complete dissolution.

30 3) The oil phase was mixed at 44°C with 42.4 g of water for injection incorporating 0.5 g of Pluronic F-127 (the aqueous phase was degassed by boiling prior to its mixing with the oil phase) and the pre-emulsion was formed by brief sonication.

- 4) Upon homogenization in an Avestin CS at 22-24 Kpsi a fine emulsion was formed.

### Example 33

#### Etoposide Emulsion

- 5 An  $\alpha$ -tocopherol emulsion containing PEG 300 and incorporating 2 mg/ml of Etoposide was prepared as follows:

Etoposide was first dissolved in PEG-300 (10 min at 72°C). TPGS and vitamin E were then added to the drug solution. Aqueous phase (WFI containing Poloxamer 407) was degassed by boiling prior to use. Pre-emulsion was prepared by adding 5 g of the oil phase to 45 g of water at 45°C. After a 3-min mixing the pre-emulsion was homogenized at 25 Kpsi for 30 min to produce a fine emulsion. The final composition of the emulsion is shown below:

<u>Component</u>		<u>Composition (% w/w)</u>
15	etoposide	0.2
	vitamin E	3.0
	TPGS	1.5
	PEG-300	3.0
	Poloxamer 407	1.0
	WFI (water for injection)	92.3

20

### Example 34

Additional paclitaxel emulsions for injection are presented in Table 6.

Table 6. Composition of Injectable Paclitaxel Emulsions

Composition of Injectable Paclitaxel Emulsions		C (split surfactant)		D (all surfactant in oil)		E (all surfactant in oil)	
		Weight (g)	Weight (%)	Weight (g)	Weight (%)	Weight (g)	Weight (%)
Oil Phase	Paclitaxel	2.0	0.4	0.55	1.1	0.5	0.5
	PEG 400	32.0	6.4	3.36	6.7	10.0	10.0
	PGS	18.85	3.77	2.60	5.2	4.3	4.3
	Pluronic F127			0.52	1.0	5.1	1.1
	vitamin E	40.5	8.1	4.19	8.4	7.2	7.2

Composition of Injectable Paclitaxel Emulsions		C (split surfactant)		D (all surfactant in oil)		E (all surfactant in oil)	
		Weight (g)	Weight (%)	Weight (g)	Weight (%)	Weight (g)	Weight (%)
Aqueous Phase	TPGS	6.4	1.28				
	Pluronic F1271	5.0	1.0				
	Water	395.25	79.05	41.0	82.0	79.5	79.5
	Total	500.0	100.0	52.2	104.4	102.6	102.6

## Example 35

Compositions of various self-emulsifying emulsions useful in this invention are shown in Table 7.

Table 7. Self-Emulsifying Emulsions

Composition of Self- Emulsifying Emulsions	SEFP-1		SEFP-2	
	Weight (g)	Weight %	Weight (g)	Weight %
Paclitaxel	0.255	5.11	0.258	5.14
vitamin E	1.989	19.88	2.242	44.70
PGS	0.992	19.99	0.765	15.25
PEG 400	1.502	30.11	0.999	19.92
Pluronic F127	0.250	5.01		
Solutol HS15			0.752	14.99
Total	4.988	100.00	5.016	100.00

5

The emulsions described in Table 7 were synthesized as follows.

SEFP-1. Paclitaxel and PEG 400 were heated together at 60-67°C and stirred until the drug was dissolved in PEG (15 min). Then TPGS and Pluronic F127 were added and stirred at 70°C for 10-15 min to dissolve the surfactant. Finally, vitamin E (α-tocopherol) was added and mixed for 5-10 min at 55°C until the mixture was clear and homogeneous. Upon dilution with an aqueous phase a fine emulsion can be obtained.

10

- SEFP-2. Paclitaxel and PEG 400 were first stirred at 65-75°C for 45 min then TPGS was added and stirring was continued for another 30 min to completely dissolve all three components and produce a clear solution. Finally Solutol HS-15 and vitamin E were added and mixed for about 5 min at 55°C to obtain a clear homogeneous liquid.
- 5 Upon dilution with an aqueous phase a fine emulsion can be obtained.

#### Example 36

Additional compositions of self-emulsifying emulsions of paclitaxel are shown in Table 8.

Table 8. Self-Emulsifying Emulsions

Composition of Self-Emulsifying Emulsions	SEFP-3		SEFP-4	
	Weight (g)	Weight (%)	Weight (g)	Weight (%)
Paclitaxel	0.10	2	0.05	1
$\alpha$ -tocopherol	1.40	28	0.50	10
PGS	1.00	20	0.95	19
EG400	1.00	20	1.00	20
Solutol HS-15	1.50	30	2.50	50
Total	5.00	100	5.00	100

10

SEFP-3 and SEFP-4 were prepared by first dissolving paclitaxel in solutol HS-15 and PEG 400 by low shear mixing at 60-70°C (<30 min), TPGS and  $\alpha$ -tocopherol were then added and briefly mixed to form a clear solution. TPGS solidification can be observed at room temperature but remains a clear liquid at 37°C.

- 15 The particle size of the emulsions upon dilution of SEFP-3 and SEFP-4 was determined as follows: 0.2 mL of SEFP-3 or SEFP-4 was diluted in 100 mL of phosphate-buffered saline at 37°C by low shear mixing with a stir bar for 5 minutes. An emulsion was quickly formed, the particle size of which was measured by the Malvern Mastersizer. The volume mean diameter of SEFP-3 and SEFP-4 was found to be 2.49
- 20 and 1.55  $\mu$ m, respectively.

For an efficient self-emulsified system the mean droplet diameter of the resulting emulsion should be less than 10  $\mu$ m and preferably less than 5  $\mu$ m.



## Example 37

## Paclitaxel Emulsions Incorporating a Pegylated Phospholipid

DMPE-PEG<sub>2000</sub> (Dimyristoyl Phosphatidyl Ethanolamine - Polyethylene Glycol 2000) incorporating emulsions were prepared (Table 9). Paclitaxel, when present, was first dissolved in PEG 400 by low shear mixing at 75°C. The other ingredients were added and briefly mixed (after melting TPGS, and in the case of DMPEG-2, the P 407) to form a clear solution. A vacuum was applied to degas the oil phase prior to emulsification, and the oil phase was brought to 45°C. Water was boiled for 15 minutes to degas, then brought to 45°C also. The two phases were mixed at 45°C at low to medium shear to form a pre-emulsion. For formulations DMPE-PEG-P2, DMPE-PEG-P3 and DMPE-PEGP-4, this was accomplished by simply adding the warm water to the oil phase and swirling by hand with sonication. The pre-emulsion for DMPE-PEG2 was prepared by pouring oil phase into water while stirring with a laboratory mixing motor. Pre-emulsions were immediately homogenized in the Avestin C5 homogenizer at 20-22K psi peak stroke pressure to produce fine emulsions with a mean droplet diameters and 99% cumulative distributions of less than 200 nm.

Table 9. Paclitaxel Emulsions Incorporating a Pegylated Phospholipid

	DMPE-PEG-1		DMPE-PEG-2		DMPE-PEG-3		DMPE-PEG-4	
	(g)	(%)	(g)	(%)	(g)	(%)	(g)	(%)
Paclitaxel	0.53	1.1	0.96	1.0				
PEG 400	3.07	6.1	5.77	5.8	1.8	6.0	1.84	6.1
TPGS	2.59	5.1	4.62	4.7	1.51	5.0	1.22	4.1
MPE-PEG <sub>2000</sub>	0.53	1.1	0.20	0.2	0.30	1.0	0.62	2.1
Poloxamer 407			0.96	1.0				
vitamin E	4.11	8.2	7.71	7.8	2.42	8.1	2.14	7.2
Water	39.50	78.5	79.00	79.6	24.0	79.9	24.1	80.5
Total	50.33	100.0	99.23	100.0	30.03	100.0	29.92	100

## Example 38

## Efficacy Data

Formulation D (Table 6) was evaluated for efficacy against B 16 melanoma in mice as described in Examples 18 and 19 and the data is summarized in FIGURE 5.

5 Comparative efficacy data is presented in Table 10.

Table 10. Comparative Efficacy in B16 Melanoma Tumor Model

Test Article	Dosage (mg/kg/day)	Schedule (days)	Total Dose (mg/kg)	Median Tumor Weight on Day						% Mortality (by day 17)	% T/C Day 13	T-C (days)	Log cell kill
				1	4	7	10	13	17				
Saline	80 equiv.	q4dx5	---	80	245	1271	1800	2916	14114	60	----	----	----
Taxol®	20	qdx5	100	69	123	331	2	2192	4901	20	75	5	0.9
Formulation D	60	q3dx5	300	108	106	221	234	400	400	60	14	13	2.3

10 % T/C = Tumor Growth Inhibition (median tumor wt. of treated/median tumor wt. control) x 100

T-C = Tumor Growth Delay value (median time for treatment group (T) and control group tumors (C) to reach a predetermined size (>750 mg)

Log cell kill = (T-C value)/(3.32 x tumor doubling time) tumor doubling time calculated to be 1.75 days.

15 Consistent with the data in Table 4, efficacy assessment by tumor growth inhibition, tumor growth delay and log cell kill indicate significant improvement with Formulation D over Taxol.

## Example 39

## Physical Stability Data

20 The physical stability of Formulation D was assessed by potential particle size changes upon storage and the data is shown in Table 11.

Table 11. Physical Stability of Formulation D

Storage Day (2-8°C)	Volume-weighted Particle Size (nm)	
	Mean Droplet Diameter	Distribution 99% of the Particles less than
2	71.3	154.6
3	69.3	151.8
10	67.7	151.6
15	69.8	150.8
28	66.3	152.3
30	66.9	150.3

Particle size was measured using the Nicomp 370 sub-micron particle size analyzer. As can be seen from the data in Table 11 no significant changes were observed in either the mean droplet diameter or the 99% cumulative distribution of the particles.

- 5 The latter parameter is often used as an indicator of particle aggregation and growth. In addition, no precipitation or other gross changes were observed during storage. Long term stability is ongoing.

#### Example 40

##### Chemical Stability

- 10 The chemical stability of Formulation D (Table 6) was monitored by HPLC using the procedures of Example 5 and the data is shown in Table 12. HPLC is utilized to quantitate the concentration of paclitaxel and degradants. In Table 12, drug concentration is equivalent to drug potency.

Table 12. Chemical Stability of Formulation D

Storage Day	Drug Concentration
(2-8°C)	(m ml)
0	9.53
10	9.54
19	9.39
32	9.54

It is evident from this data that the drug potency in formulation D remains unchanged under these storage conditions.

In addition, no degradation of the drug was observed during this storage time.

#### Example 41

#### 5 Emulsions Containing PEG 300 or NMP

$\alpha$ -tocopherol emulsions containing PEG 300 or NMP (N-methyl-2-pyrrolidone) and incorporating 10 mg/ml paclitaxel are shown in Table 13.

Table 13

Component	PEG 300		NMP	
	Weight (g)	Weight %	Weight (g)	Weight %
Paclitaxel	0.05	1.0	0.05	1.0
PEG 300	0.32	6.2		
(N-Methyl-2-pyrrolidone)			0.18	3.6
TPGS	0.25	4.9	0.25	5.0
Poloxamer 407	0.05	0.9	0.05	1.0
vitamin E	0.40	7.9	0.43	8.7
Water	4.00	78.9	4.00	80.7
Total	5.07	100.0	4.96	100.0

In both cases, paclitaxel was first dissolved in the solvent (PEG 300 or nmP) with  
 10 low shear mixing. Heating to 60°C was used with the PEG 300 to speed the dissolution  
 while with the nmP formulation a few minutes at room temperature was sufficient to  
 dissolve the drug. The remaining ingredients (except water) were then added and the  
 mixtures were heated to 60-65°C with low shear mixing to melt the solid surfactant and  
 produce homogeneous, clear solutions. The solutions were brought to 45°C, then 45°C  
 15 water was added to them. The resulting mixtures were processed under medium shear to  
 produce a thick, white crude emulsion, very similar in appearance to the pre-emulsion of

formulation D (Table 6). These emulsions can further be homogenized at high pressure to produce fine emulsions.

#### Example 42

##### Large Scale Preparation of Formulation D

- 5 Using procedures analogous to those described in previous examples, Formulation D (Table 6) was manufactured at a large scale in 2 x 2L sub-lots having the composition in Table 14.

Table 14

Component	Sub-Lot 1		Sub-Lot 2	
	Amount in Oil Phase (g)	Weight (%)	Amount in Oil Phase (g)	Weight (%)
Paclitaxel	21	1.01	21	1.01
PEG400	123.6	5.96	123.6	5.92
$\alpha$ -tocopherol	164.8	7.94	164.8	7.89
TPGS	103	4.97	103	4.93
Poloxamer 407	20.6	0.99	20.6	0.99
Oil Phase Total	433	2097	413.2	20.73

- 10 For the preparation of the pre-emulsion, 416.8g of the oil phase of sub-lot 1 and 413.2g of the oil phase of sub-lot 2 were mixed with 1580g of water for injection (5 min at 46°C). Upon homogenization fine emulsions were produced having a mean droplet diameter of about 70 nm, that is, very similar to that of Formulation D at the small scale (Table 11). This scaled formulation (Formulation D2) was further sterilized by filtration
- 15 through a 0.2 micron filter.

#### Example 43

##### Hemolytic Activity Evaluation of a Drug-Free Emulsion

A large scale (2.5 L) of Formulation D in the absence of paclitaxel was prepared as described in Example 42 having the composition in Table 15.

Table 15

Component	Amount in Oil Phase (g)	Weight %
PEG400	154.5	5/97
$\alpha$ -tocopherol	206	7.96
TPGS	128.8	4.97
Poloxamer 407	25.8	1.00 07
Oil Phase Total	515.1	19.89

For the preparation of the pre-emulsion, 496.7g of the oil phase were mixed with 2000 g of water for injection (5 min at 46°C). Upon homogenization and filter  
5 sterilization this formulation was evaluated for gross hemolytic reaction with human blood using the following procedure:

Volunteer healthy blood was collected with heparin by Vacutainer stick. The plasma was initially straw colored and negative for hemolysis. Drops of whole blood and the drug-free emulsion were brought together under coverslip and observed  
10 microscopically for several minutes. During contact, red blood cells (RBCs) remained normocytic. No obvious aggregation of the emulsion particles was noted. No gross changes in platelet or WBC morphology were noted. Then, in test tubes, whole blood and vehicle were mixed 1:1 and 5:1, v/v. As a control, whole blood was mixed with saline for injection 1:1. All mixtures were incubated at 37°C and examined at 10 and 30  
15 min. Supernatants in all three tubes were straw colored and clear. It can be concluded from this study that there is no immediate gross hemolytic reaction between the emulsion vehicle and blood. This suggests that the morphology of the red cell membranes is not perturbed by the surfactants present in the emulsion, in contrast to several reports in the literature on surfactant-induced hemolysis of RBC.

20

## Example 44

## Physical Stability Data

Table 16 shows long-term stability of the scaled up formulation of Example 42 (Formulation D2) upon a 9-month storage at 4°C or 25°C. It is evident that at least during this storage time, both the mean droplet diameter and the 99% cumulative

distribution did not significantly change from their initial values of about 65 and 150 nm, respectively, and the emulsion remains within specifications.

Table 16. Physical Stability of Formulation D2

Storage Time (months)	Mean Droplet Diameter, nm (mean $\pm$ sd)		99% Cumulative Distribution, nm (mean $\pm$ sd)	
	4°C	25°C	4°C	25°C
0.0	64 $\pm$ 0.8	63 $\pm$ 2.1	150 $\pm$ 0.7	150 $\pm$ 0.7
0.5	67 $\pm$ 2.9	63 $\pm$ 2.5	152 $\pm$ 2.8	149 $\pm$ 2.5
1.1	64 $\pm$ 2.5	65 $\pm$ 2.5	149 $\pm$ 2.0	152 $\pm$ 2.1
3.1	66 $\pm$ 1.2	62 $\pm$ 2.0	150 $\pm$ 1.2	148 $\pm$ 2.5
6.1	63 $\pm$ 1.2	64 $\pm$ 3.1	150 $\pm$ 1.5	152 $\pm$ 4.0
9.2	64 $\pm$ 2.1	62 $\pm$ 1.0	152 $\pm$ 2.1	153 $\pm$ 0.7
12.3	65 $\pm$ 2.1	63 $\pm$ 0.5	151 $\pm$ 0.7	151 $\pm$ 0.7
18.3	65 $\pm$ 2.3	61 $\pm$ 2.7	152 $\pm$ 1.5	151 $\pm$ 2.7

#### Example 45

#### Chemical Stability

5

A 9-month chemical stability data of the scaled up formulation of Example 42 (Formulation D2) in terms of paclitaxel potency and levels of known degradants are shown in Tables 17 and 18. As can be seen from these results, there were no significant changes in either the drug potency or the levels of known degradants and the product remains within specifications at both storage temperatures.

10

Table 17. Paclitaxel Potency and Degradants at 4°C

Storage Time (months)	Paclitaxel Potency	Degradants (% mean $\pm$ sd, n=3)		
	mean $\pm$ sd, n=3 (mg/ML)	7-Epi- paclitaxel	Baccatin-3	10-Deacetyl- paclitaxel
0.0	8.22 $\pm$ 0.64	0.17 $\pm$ 0.01	0.12 $\pm$ 0.01	0.15 $\pm$ 0.01
0.5	9.48 $\pm$ 0.08	0.32 $\pm$ 0.05	0.15 $\pm$ 0.00	0.16 $\pm$ 0.00
1.1	8.79 $\pm$ 0.53	0.31 $\pm$ 0.03	0.17 $\pm$ 0.00	0.17 $\pm$ 0.00
3.1	9.50 $\pm$ 0.07	0.61 $\pm$ 0.03	0.20 $\pm$ 0.00	0.20 $\pm$ 0.00

Storage Time (months)	Paclitaxel Potency	Degradants (% , mean $\pm$ sd, n=3)		
	mean $\pm$ sd, n=3 (mg/ML)	7-Epi-paclitaxel	Baccatin-3	10-Deacetyl-paclitaxel
6.1	9.27 $\pm$ 0.17	0.28 $\pm$ 0.02	0.17 $\pm$ 0.01	0.18 $\pm$ 0.02
9.2	9.21 $\pm$ 0.12	0.36 $\pm$ 0.02	0.17 $\pm$ 0.00	0.18 $\pm$ 0.01
12.3	8.80 $\pm$ 0.30	0.30 $\pm$ 0.04	0.21 $\pm$ 0.04	0.20 $\pm$ 0.03
18.3	9.00 $\pm$ 0.10	0.29 $\pm$ 0.02	0.17 $\pm$ 0.01	0.16 $\pm$ 0.01

Table 18. Paclitaxel Potency and Degradants at 25°C

Storage Time (months)	Paclitaxel Potency	Degradants (% , mean $\pm$ sd, n=3)		
	mean $\pm$ sd, n=3 (mg/ML)	7-Epi-paclitaxel	Baccatin-3	10-Deacetyl-paclitaxel
0.0	8.22 $\pm$ 0.64	0.17 $\pm$ 0.01	0.12 $\pm$ 0.01	0.15 $\pm$ 0.01
0.5	9.10 $\pm$ 0.65	0.33 $\pm$ 0.00	0.17 $\pm$ 0.00	0.17 $\pm$ 0.01
1.1	8.06 $\pm$ 0.75	0.32 $\pm$ 0.04	0.17 $\pm$ 0.00	0.17 $\pm$ 0.01
3.1	9.19 $\pm$ 0.79	0.65 $\pm$ 0.05	0.22 $\pm$ 0.00	0.22 $\pm$ 0.00
6.1	9.11 $\pm$ 0.71	0.33 $\pm$ 0.02	0.16 $\pm$ 0.02	0.15 $\pm$ 0.03
9.2	9.02 $\pm$ 0.68	0.36 $\pm$ 0.02	0.18 $\pm$ 0.01	0.18 $\pm$ 0.01
12.3	8.30 $\pm$ 0.80	0.35 $\pm$ 0.07	0.21 $\pm$ 0.04	0.21 $\pm$ 0.04
18.3	8.60 $\pm$ 0.76	0.28 $\pm$ 0.02	0.18 $\pm$ 0.00	0.16 $\pm$ 0.01

## Example 46

5

## Efficacy Evaluation

The formulation of Example 42 was evaluated for efficacy against B16 melanoma as described in Examples 18, 19 and 37 and the results are summarized in Table 19.

Table 19. Antitumor Activity in the B16 Melanoma Model

Test Article	Dose mg/kg n=8	Schedule Days	Survival (mean $\pm$ S D) days	% T/C <sup>a</sup> day 20	% TGI <sup>b</sup> day 20	T-C <sup>c</sup> days	Log Cell Kill <sup>d</sup>
Saline	Control	q3dx5	17 $\pm$ 2	-	-	-	
Vehicle	Control	q3dx5	20 $\pm$ 1	93	3	3	-



Test Article	Dose mg/kg n=8	Schedule Days	Survival (mean±SD) days	% T/C <sup>a</sup> day 20	% TGI <sup>b</sup> day 20	T-C <sup>c</sup> days	Log Cell Kill <sup>d</sup>
Taxol <sup>®</sup>	20	q3dx5	19 ± 5	77	23	3	0.5
D2	20	q3dx5	28 ± 7	11	89	10	1.8
D2	40	q3dx5	33 ± 5	0	100	17	3.0

a: % T/C = (Median Tumor Wt of treated / Median Tumor Wt of control) x 100

b: %TGI = 100 - (%T/C)

c: T-C = Tumor Growth Delay Value (median time for the treatment group (T) and control (C) to reach a predetermined size (> 750 mg)

d: Log Cell Kill = (T-C value) / (3.32 x tumor doubling time)

By all end points of efficacy, Formulation D2 exhibited superior antitumor activity in mice at doses that included or well exceeded the MTD of Taxol<sup>®</sup> but which were well tolerated. Such effects have not been reported with previous injectable emulsions of paclitaxel. MTD is the maximum tolerated dose that is determined from acute toxicity studies.

#### Example 47

##### Efficacy Evaluation

The antitumor activity of Formulation D2 (Example 42), against the human ovarian tumor xenograft IGROV-1 using the marketed product Taxolo as a reference formulation. Nude mice were implanted subcutaneously by trocar with fragments of IGROV-1 human ovarian carcinomas harvested from subcutaneously growing tumors in nude mice hosts. When tumors were approximately 5 x 5 mM in size, the animals were paired matched into treatment and control groups contained 9 ear-tagged tumor-bearing mice per group. Formulation D2 was administered i.v. on a q3dx5, q4dx5, and qdx5 schedule at 20, 40 and 60 mg/kg. Taxol<sup>®</sup> was administered i.v. on the same schedules at 20 mg/kg its maximum tolerated dose. Mice were weighed twice weekly, and tumor measurements were taken by calipers starting Day 1 and converted to mg tumor weight. The experiment was terminated when the control tumors reached approximately 1 gr and tumors were excised and weighed and the mean tumor weight per group was calculated. The data is summarized in Table 20.

Table 20. Antitumor Activity in the IGROV-1 Human Ovarian Tumor Xenograft

Group	Schedule	Dose (mg/kg)	Final Tumor Wt (Mean $\pm$ SEM, mg)	%TGI	Mice with Complete Shrinkage
Saline	q3dx5	control	874.8 $\pm$ 178.6	-	0
D2	q3dx5	vehicle	839.9 $\pm$ 80.4	4.4	0
D2	q3dx5	20	115.9 $\pm$ 39.1	93.4	2
D2	q3dx5	40	0.1 $\pm$ 0.1	-	8
D2	q3dx5	60	0.0 $\pm$ 0.0	-	7
D2	q4dx5	20	69.2 $\pm$ 28.4	99.9	3
D2	q4dx5	40	0.0 $\pm$ 0.0	-	9
D2	q4dx5	60	4.9 $\pm$ 4.9	-	8
D2	qdx5	20	158.2 $\pm$ 56.7	88.7	3
Taxol®	q3dx5	20	22.3 $\pm$ 14.2	-	3
Taxol®	q4dx5	20	24.0 $\pm$ 11.5	-	3
Taxol®	qdx5	20	16.7 $\pm$ 9.6-	-	2

Administration of Formulation D2 at 20, 40 and 60 mg/kg on a q3dx5 or q4dx5 schedule resulted in nearly 100% tumor growth inhibition at all doses with 2, 8, and 7 and 3, 9, and 8 complete tumor responses, respectively. In comparison, administration of Taxol® resulted in 3 complete tumor responses on both schedules. On a qdx5 schedule, the antitumor activities of Formulation D2 and Taxol® were similar. Formulation D2, however, was better tolerated with no toxic deaths whereas six toxic deaths were noted with Taxol®. Formulation D2 was highly active against the IGROV-1 human ovarian xenograft model in a dose-dependent fashion, regardless of the dosing schedule and it was better tolerated than Taxol®.

#### Example 48

##### Pharmacokinetic Study

The pharmacokinetics of the formulation of Example 42 (Formulation D2), in the rat upon a single 10 mg/kg i.v. administration was determined using Taxol® as a reference formulation. The drug was administered i.v. to male or female rats either as a 3-hr infusion (Taxol®) or as a bolus dose (Formulation D2). Blood samples were

collected from 0-72 hrs after dose administration, plasma was prepared by centrifugation and analyzed for paclitaxel concentration using a high performance liquid chromatography (HPLC) method with LC/MS/MS detection. Pharmacokinetic analysis was performed on the mean composite plasma concentration-time profiles using a model independent method. The derived pharmacokinetic parameters are shown in Table 21.

The pharmacokinetic parameters determined were as follows:

$T_{\max}$ : time required to reach peak plasma levels ( $C_{\max}$ )

$C_{\max}$  peak plasma concentration of the drug

$AUC_{0-t}$ : non-extrapolated area under the plasma concentration-time curve from time zero to time t which is the end of the plasma sample collection

$AUC_{0-\infty}$ : extrapolated area under the plasma concentration-time curve from time zero to infinite

$K_e$ : elimination rate constant

$T_{1/2}$ : elimination half-life

$V_d$ : volume of distribution

CL: plasma clearance

$V_{ss}$ : volume of distribution at steady state

Table 21. Derived Pharmacokinetic Parameters of Paclitaxel Following Intravenous Administration in Rats at 10 mg/kg (70 mg/m<sup>2</sup>)

Pharmacokinetic Parameter	Formulation D2		Taxol®	
	Male	Female	Male	Female
$T_{\max}$ (hr)	0.083	0.083	3	3
$C_{\max}$ (ng/mL)	58950	53900	5867	7227
$AUC_{0-t}$ (ng.hr/mL)	35504	32761	18138	22701
$AUC_{0-\infty}$ (ng.hr/mL)	35551	32829	18347	23002
$K_e$ (hr)	0.0940	0.1375	0.1283	0.0754
$T_{1/2}$ (hr)	7.38	5.04	5.40	9.20
$V_d$ (L/kg)	2.99	2.22	4.25	5.77
CL (L/hr/kg)	0.281	0.305	0.545	0.435
$V_{ss}$ (L/kg)	0.228	0.242	1.44	1.09

Both the  $C_{\max}$  and  $AUC_{0 \rightarrow \infty}$  values following the i.v. bolus administration of Formulation D2 were significantly higher than the corresponding values following the i.v. infusion of Taxol®. The terminal  $T_{1/2}$  of paclitaxel in plasma were similar for the two  
 5 treatments. Tissue binding was more extensive with Taxol® than Formulation D2 as indicated from differences in the volume of distribution at steady state ( $V_{ss}$ ). No significant differences in the pharmacokinetic parameters of paclitaxel were observed between male and female animals.

#### Example 49

##### 10 Tocotrienol Emulsion of Paclitaxel for Intravenous Administration

The following tocotrienol emulsion was prepared containing 5 mg/mL paclitaxel and is suitable for intravenous cancer therapy in mice.

Gold Tri-E® tocotrienol concentrate was obtained from Golden Jomalina Food Industries (Kuala Lumpur, Malaysia). An HPLC assay of the reddish brown oil revealed  
 15 four major peaks. Our estimate of the  $\alpha$ TE for the oil is ~0.3, due in most part to a residual d- $\alpha$ -tocopherol content of about 20%. It was diluted with 2 parts  $\delta$ -tocopherol (Sigma Chemicals) to adjust the  $\alpha$ TE to 0.1. A drug oil solution was prepared by first dissolving the paclitaxel in PEG-400 with heat and ultrasound. TPGS and the tocotrienol oil were then added. Finally, the poloxamer was added and melted at 72°C to yield a  
 20 homogeneous, clear amber oil. The mixture was degassed under vacuum in a rotevap and held at 45°C until use.

Component	Weight in Oil Phase	Final Percent (%)
PEG-400	3.10 m	6.0%
Paclitaxel	0.25 m	0.5%
TPGS	2.52 m	5.0%
Tocotrienol Oil Mixture	4.03 m	8.0%
Poloxamer	0.50 m	1.0%

The aqueous phase, consisting of 40 mL of 5 mM citrate TEA buffer, pH 6.8, was brought to 45°C before addition. Upon addition, the resultant mixture was mixed

vigorously to loosen any adherent oil on the walls of the flask. This suspension was then placed in a feed vessel and processed in a C5 homogenizer (Avestin, Ottawa, Canada) for 10 min with continuous recycling. Processing conditions were 45°C feed temperature, 20 kpsi processing pressure, 120 mL/min flow rate. A heat exchanger set at 22°C was placed at the exit port to remove excess heat generated in the homogenizer. The temperature in the feed vessel was measured at 44°C during steady state homogenization.

Following processing, the product was collected and cooled to room temperature. The emulsion was then terminally sterilized by filtration through a 0.2 µm filter and had a mean particle size of ~70 nm when measured on a Nicomp 370 photon correlation spectrophotometer. For convenience in handling, Gentamycin 15 ug/mL was added as a preservative.

As a result of its lower viscosity, the use of the tocotrienol rich fraction (Gold Tri-E) rendered the emulsion substantially more easy to process than a comparable emulsion made with d,l-α-tocopherol (Roche Vitamins) as the oil phase.

#### Example 50

##### δ-Tocopherol Emulsion of Paclitaxel for Intravenous Administration

Small-scale mixtures are of value in formulation development. In this example, d-δ-tocopherol with an αTE content of ~0.0, was obtained from Sigma Chemicals at 90% purity. Drug solutions were prepared of each of the mixtures according to the following table:

System	Paclitaxel (mg)	δ-tocopherol (mg)
A	8.5	83.2
B	12.0	81.4
C	20.2	84.4

Dehydrated ethanol was used first to dissolve the drug crystals. The samples were then placed under vacuum on a rotevap until all the ethanol had been removed as determined gravimetrically. All samples were allowed to cool and examined. Each sample consisted of a solution of paclitaxel in a heavy amber oil of δ-tocopherol and was optically clear. The calculated paclitaxel-in-oil concentrations are shown below.

System	Paclitaxel Concentration
A	9.3 gm%
B	12.8 gm%
C	19.3 gm%

To these oil/drug mixtures, 50 mg TPGS and 10 mg Poloxamer 407 were added as surfactants. PEG-400 60 mg was added as an osmolyte. The mixtures were dissolved with heat to form a golden oil. The  $\alpha$ TE content of these oils is 0.08  $\alpha$ TE units if the TPGS surfactant is optionally considered, and 0.0  $\alpha$ TE units if the tocopherol oil phase alone is used for the measure.

This oil-drug concentrate was then cooled to 45°C and 850  $\mu$ L of warm water was added. Using a microtip sonication horn, a coarse pre-emulsion was prepared. Microscopic examination revealed a dense suspension of particles substantially less than 10  $\mu$ m diameter which with further processing in a homogenizer, adjustment of pH and osmotic strength, and terminal sterile filtration, is suitable for parenteral injection.

#### Example 51

##### Emulsion Formulations of the Methyl Carbonate Derivative of Paclitaxel (BMS-188797) for Intravenous Administration

BMS-188797 was first dissolved in ethanol and then  $\alpha$ -tocopherol, Myvacet 9-45 (if present), TPGS, poloxamer 407, and PEG400 were added and mixed at high temperature (about 60°C). Then ethanol was removed under vacuum at high temperature to yield a clear oil phase incorporating the drug. It was subsequently mixed with water for injection at 45°C to prepare the pre-emulsion. The final emulsion was prepared by homogenizing the pre-emulsion in the Avestin C5 homogenizer for 10-15 min at 19-20 Kpsi with the temperature being maintained between 35 and 45°C. The composition of some representative emulsions are shown in the table below. The mean droplet diameter of these emulsions and 99% cumulative distribution were determined to be less than 0.1 and 0.2  $\mu$ m, respectively. These emulsions are filtered sterilizable, stable at room temperature, well tolerated in animals and are efficacious.

Emulsion Composition	A (% w/w)	B (% w/w)	C (% w/w)
BMS-188797	0.32	0.5	0.5

Emulsion Composition	A (% w/w)	B (% w/w)	C (% w/w)
$\alpha$ -tocopherol	8.0	15.0	10.0
Myvacet 9-45 (distilled acetylated monoglycerides)	-	-	5.0
TPGS	5.0	7.5	6.5
Poloxamer 407	1.0	2.5	1.0
PEG 400	6.0	6.0	6.0
Water	79.7	68.5	71.0

## Example 52

## Emulsion Formulation of Clarithromycin

Clarithromycin, a macrolide antibiotic, was obtained as the free base from Wockhardt (Delhi, India). Vitamin E succinate (VESA) was obtained from Eastman  
 5 (Freeport, TN). Capmul MCM was obtained from Abitec (Janesville, WI); Poloxamer F127 from BASF (Parsippany, NJ); PEG-400 from Spectrum Chemicals (Gardenia, CA), and d- $\delta$ -tocopherol from Sigma Chemicals (St. Louis, MO). An oil phase consisting of d- $\delta$ -tocopherol and Capmul MCM was prepared using 2 parts  $\delta$ -tocopherol. The  $\alpha$ TE of the oil phase is 0.0. Surfactant and clarithromycin were then added as shown in the table  
 10 below. Dry ethanol was used to dissolve the components at 70°C and the ethanol was then removed under vacuum.

Component	Weight in Oil Phase	Final Percent (%)
d-tocopherol	2.53	5.0%
Capmul MCM (C <sub>8</sub> /C <sub>10</sub> mono-/diglycerides)	1.28 gm	2.5%
Poloxamer F127	2.98 gm	3.0%
Clarithromycin	0.53 m	0.5%
vitamin E Succinate	0.45 m	0.9%

The aqueous phase, consisting of 40 mL of 5 mM citrate TEA buffer, pH 6.8, was brought to 45°C before addition. Upon addition, the resultant mixture was mixed

vigorously to loosen any adherent oil on the walls of the flask. This suspension was then placed in a feed vessel and processed in a C5 homogenizer (Avestin, Ottawa, Canada) for 3 min with continuous recycling. Processing conditions were 45°C feed temperature, 20 kpsi processing pressure, 120 mL/min flow rate. A heat exchanger set at 22°C was  
5 placed at the exit port to remove excess heat generated in the homogenizer. The temperature in the feed vessel was measured at 44°C during steady state homogenization.

Following processing, the product was collected and cooled to room temperature. The emulsion was then terminally sterilized by filtration through a 0.2 um filter and had a mean particle size of less than 52 nm when measured on a Nicomp 370 photon  
10 correlation spectrophotometer.

While the preferred embodiment of the invention has been illustrated and described, it will be appreciated that various changes can be made therein without departing from the spirit and scope of the invention.



The embodiments of the invention in which an exclusive property or privilege is claimed are defined as follows:

1. A pharmaceutical composition, comprising:  
one or more therapeutic agents, one or more tocots, one or more co-solvents selected from dimethyl sulfoxide, dimethylamide, ethylene glycol, benzyl alcohol, benzyl benzoate, propylene glycol, glycerol, sorbitol, mannitol, polyethylene glycol, N-methyl-2-pyrrolidone, and polyvinylpyrrolidone; and  
one or more surfactants.
2. A composition according to claim 1 in which the therapeutic agent comprises one or more chemotherapeutic agents.
3. A composition according to claim 2 in which the therapeutic agent comprises one or more chemotherapeutic agents selected from taxanes, taxines and taxols.
4. A composition according to claim 3 in which the chemotherapeutic agent is selected from paclitaxel, analogs of paclitaxel, and mixtures thereof.
5. A composition according to claim 4 in which the chemotherapeutic agent is selected from paclitaxel, a methyl carbonate derivative of paclitaxel, 2-debenzoyl-2-aroyle and C-2-acetoxy-C-4-benzoate paclitaxel, 7-deoxytaxol, C-4 aziridine paclitaxel, and paclitaxel conjugates with natural or synthetic polymers, fatty acids, phospholipids, or 1,2-diacyloxypropane-3-amine, and mixtures thereof.
6. A composition according to claim 4 in which the chemotherapeutic agent comprises paclitaxel.
7. A composition according to claim 4 in which the chemotherapeutic agent is selected from docetaxel, analogs of docetaxel, and mixtures thereof.
8. A composition according to claim 2 in which the chemotherapeutic agent is a microtubule targeting agent.

9. A composition according to claim 8 in which the chemotherapeutic agent is selected from epothilone A and B, discodermolide, nonataxel, and eleutherobin.

10. A composition according to claim 1 in which the therapeutic agent is selected from clarithromycin, erythromycin, ciprofloxacin, camptothecin, doxorubicin, valproic acid and analogs thereof.

11. A composition according to any of claim 1 in which the therapeutic agent has an octanol/water partition coefficient of greater than about 2.

12. A composition according to claim 1 in which the surfactant comprises one or more derivatives of a tocopherol.

13. A composition according to claim 12 in which the surfactant comprises one or more esters and/or ethers of a tocopherol.

14. A composition according to claim 13 in which the surfactant comprises one or more esters and/or ethers of alpha-tocopherol.

15. A composition according to claim 1 in which the surfactant comprises alpha-tocopherol polyethylene glycol succinate.

16. A composition according to claim 1 in which the tocol is selected from tocopherols, tocotrienols, and mixtures thereof.

17. A composition according to claim 16 in which the tocol comprises  $\alpha$ -tocopherol.

18. A composition according to claim 16 in which the tocol comprises delta-tocopherol, gamma-tocopherol, beta-tocotrienol, delta-tocotrienol, gamma-tocotrienol, desmethyltocotrienol and didesmethyltocotrienol.

19. A composition according to claim 12 in which the ratio of tocol to tocopherol derivative is from about 1:1 to about 1:20 w/w.

20. A composition according to claim 1 in which the surfactant comprises one or more pegylated surfactants

21. A composition according to claim 1 further comprising a second surfactant selected from polyoxypropylene-polyoxyethylene nonionic block copolymers, fatty acid esters, fatty acid amines, glycerol and propylene glycol esters, polyethylene glycol esters, sucrose fatty acid esters, phospholipids and pegylated phospholipids.
22. A composition according to claim 21 in which the second surfactant is selected from poloxamer 407, polyethylene glycol 660 hydroxystearate, lecithin, and dimyristoyl phosphatidyl ethanolamine-polyethylene glycol.
23. A composition according to claim 1 comprising a multiphase system.
24. A composition according to claim 23 which further comprises an aqueous phase and comprises an emulsion or microemulsion.
25. A composition according to claim 24 which comprises an oil-in-water emulsion or microemulsion.
26. A composition according to claim 25 in which substantially all the therapeutic agent or agents is in the oil phase.
27. A composition according to claim 24 which comprises an emulsion or which forms an emulsion having a particle size of from about 10 to about 500 nm.
28. A composition according to claim 24 which comprises an emulsion or which forms an emulsion having a particle size of from about 10 to about 100 nm.
29. A composition according to claim 1 comprising a self-emulsifying drug delivery system.
30. A composition according to claim 29 encapsulated in a capsule.
31. A composition according to claim 1 substantially free of monohydric alcohols.
32. A composition according to claim 1 substantially free of ethanol.

33. A composition according to claim 1 further comprising one or more acetylated glycerol esters.

34. A composition according to claim 33 in which the acetylated glycerol esters comprise distilled acetylated monoglycerides.

35. A pharmaceutical composition comprising: one or more chemotherapeutic agents that are water-insoluble or have a relatively low solubility in water, one or more tocopherols, and a first surfactant comprising  $\alpha$ -tocopherol polyethylene glycol succinate.

36. A composition according to claim 35 in which the chemotherapeutic agent or agents comprises one or more agents selected from taxanes, taxines and taxols.

37. A composition according to claim 36 in which the chemotherapeutic agent is selected from paclitaxel, analogs of paclitaxel, and mixtures thereof.

38. A composition according to claim 37 in which the chemotherapeutic agent is selected from paclitaxel, a methyl carbonate derivative of paclitaxel, 2-debenzoyl-2-aryloxy and C-2-acetoxy-C-4-benzoate paclitaxel, 7-deoxytaxol, C-4 aziridine paclitaxel, and paclitaxel conjugates with natural or synthetic polymers, fatty acids, phospholipids, or 1,2-diacetoxypentane-3-amine, and mixtures thereof.

39. A composition according to claim 37 in which the chemotherapeutic agent comprises paclitaxel.

40. A composition according to claim 36 in which the chemotherapeutic agent is selected from docetaxel, analogs of docetaxel, and mixtures thereof.

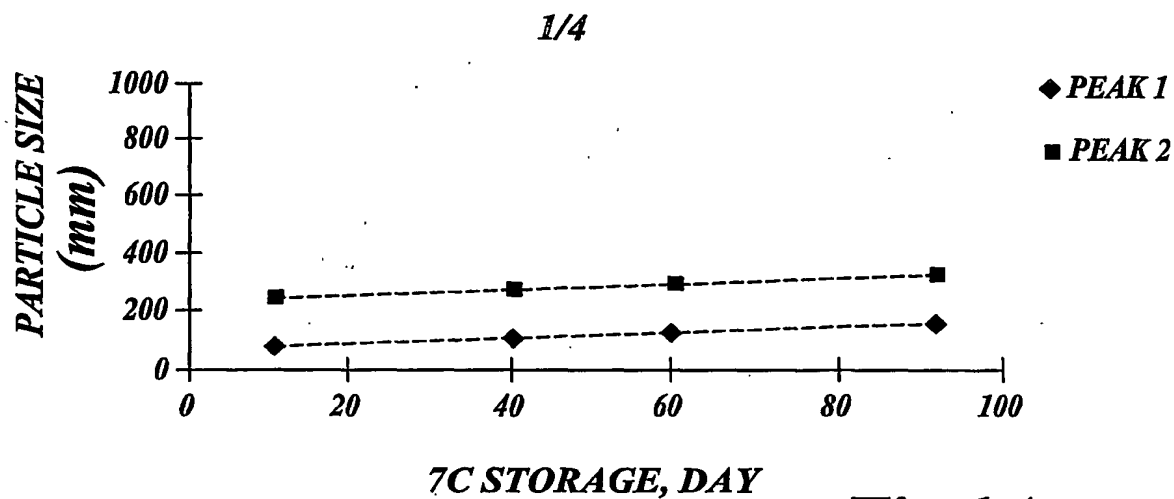
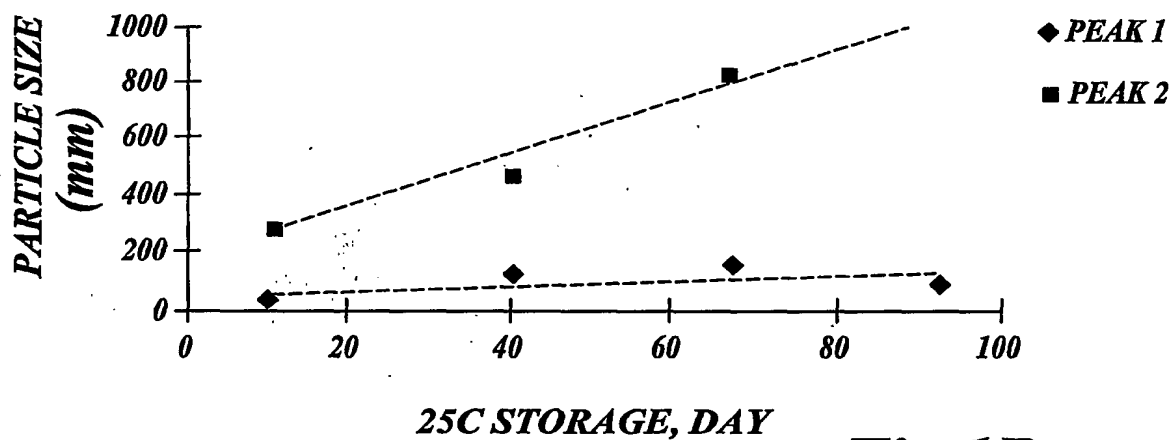
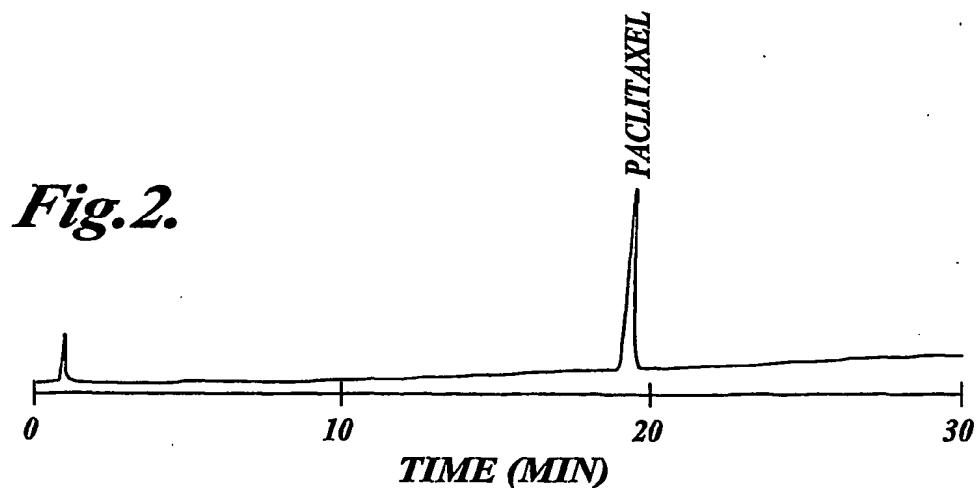
41. A composition according to claim 35 in which the chemotherapeutic agent is a microtubule targeting agent.

42. A composition according to claim 39 in which the chemotherapeutic agent is selected from epothilone A and B, discodermolide, nonataxel, and eleutherobin.

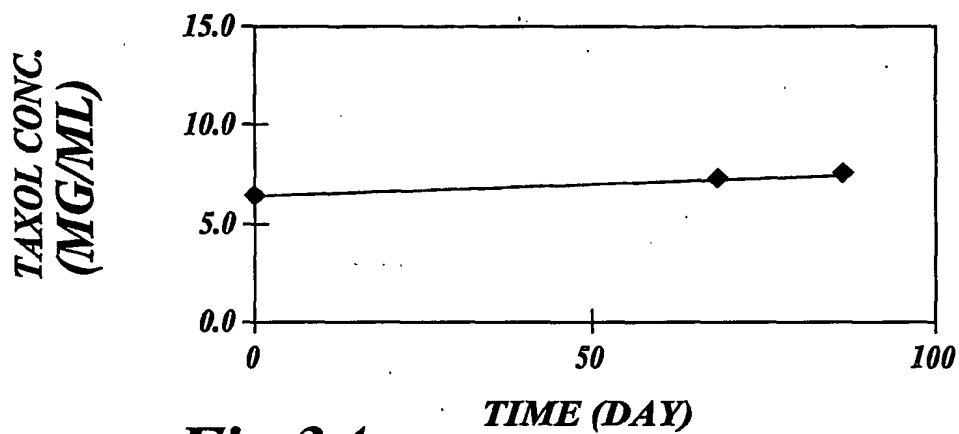
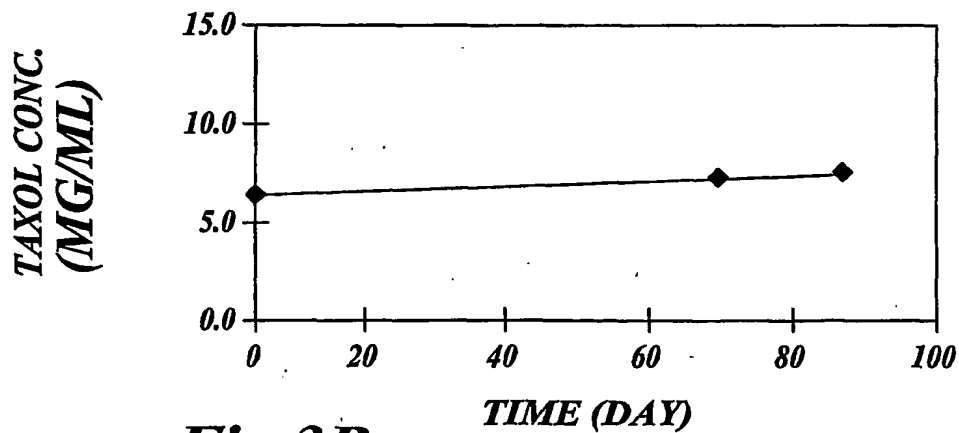
43. A composition according to claim 35 in which the chemotherapeutic agent is selected from camptothecin and analogs thereof.

44. A composition according to claim 35 in which the chemotherapeutic agent has an octanol/water partition coefficient of greater than about 2.16.
45. A composition according to claim 35 in which the tocol is selected from tocopherols, tocotrienols, and mixtures thereof.
46. A composition according to claim 35 in which the tocol comprises  $\alpha$ -tocopherol.
47. A composition according to claim 35 in which the tocol comprises delta-tocopherol, gamma-tocopherol, beta-tocotrienol, delta-tocotrienol, gamma-tocotrienol, desmethyltocotrienol or didesmethyltocotrienol.
48. A composition according to claim 35 in which the ratio of tocol to tocopherol polyethylene glycol succinate is from about 1:1 to about 1:20 w/w.
49. A composition according to claim 35 further comprising a second surfactant selected from polyoxypropylene-polyoxyethylene nonionic block copolymers, fatty acid esters, fatty acid amines, glycerol and propylene glycol esters, polyethylene glycol esters, sucrose fatty acid esters, phospholipids and pegylated phospholipids.
50. A composition according to claim 49 in which the second surfactant is selected from poloxamer 407, polyethylene glycol 660 hydroxystearate, lecithin, and dimyristoyl phosphatidyl ethanolamine-polyethylene glycol.
51. A composition according to claim 35 further comprising one or more co-solvents selected from dimethyl sulfoxide, dimethylamide, ethylene glycol, benzyl alcohol, benzyl benzoate, propylene glycol, glycerol, sorbitol, mannitol, polyethylene glycol, N-methyl-2-pyrrolidone, and polyvinylpyrrolidone.
52. A composition according to claim 49 in which the co-solvent is polyethylene glycol.
53. A composition according to claim 33 comprising a multiphase system.

54. A composition according to claim 53 which further comprises an aqueous phase and comprises an emulsion or microemulsion.
55. A composition according to claim 54 which comprises an oil-in-water emulsion or microemulsion.
56. A composition according to claim 55 in which substantially all the therapeutic agent or agents is in the oil phase.
57. A composition according to claim 54 which comprises an emulsion or which forms an emulsion having a particle size of from about 10 to about 500 nm.
58. A composition according to claim 54 which comprises an emulsion or which forms an emulsion having a particle size of from about 10 to about 100 nm.
59. A composition according to claim 35 comprising a self-emulsifying drug delivery system.
60. A composition according to claim 59 encapsulated in a capsule.
61. A composition according to claim 35 substantially free of monohydric alcohols.
62. A composition according to claim 35 substantially free of ethanol.
63. A composition according to claim 35 further comprising one or more acetylated glycerol esters.
64. A composition according to claim 63 in which the acetylated glycerol esters comprise distilled acetylated monoglycerides.

*Fig. 1A.**Fig. 1B.*

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**STABILITY, 4°C****Fig.3A.****STABILITY, 25°C****Fig.3B.**



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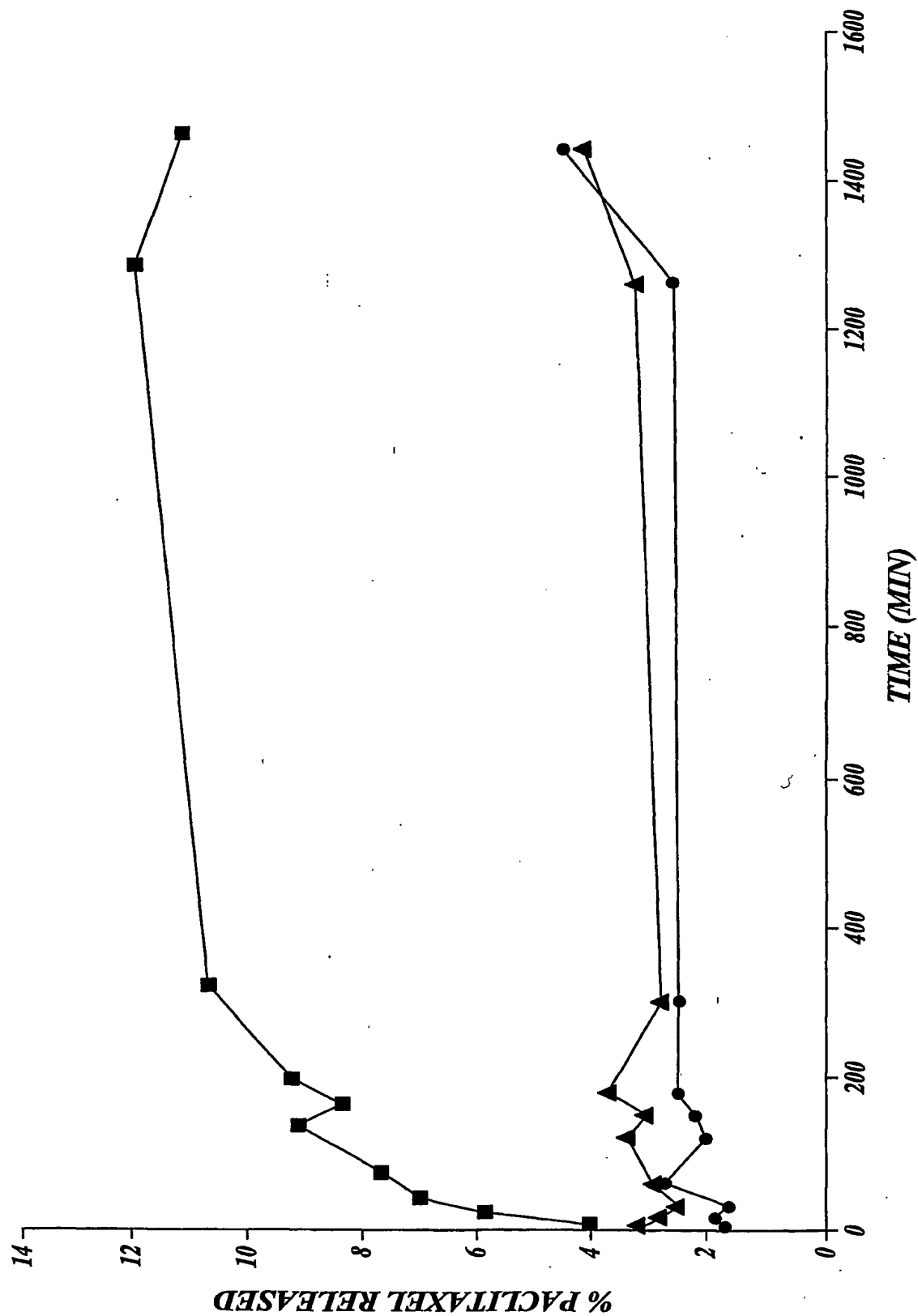


Fig. 4.

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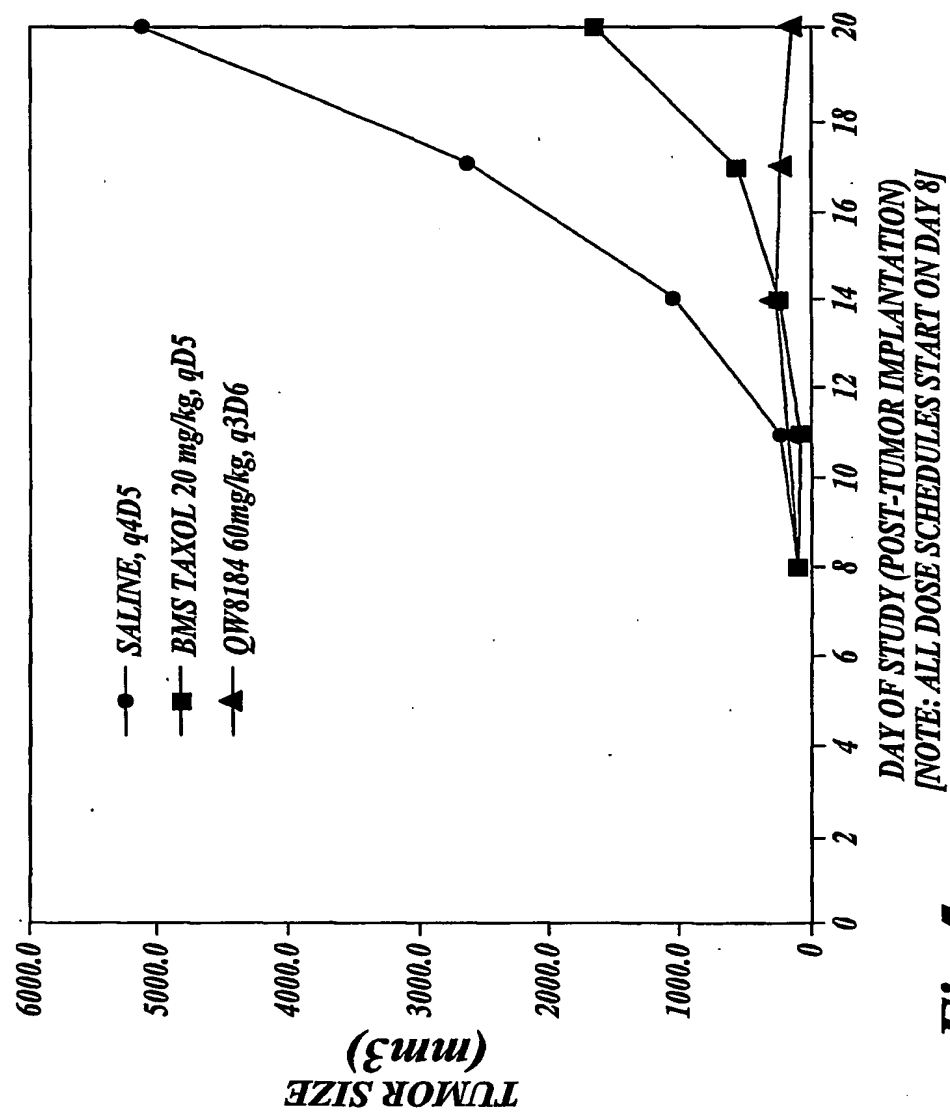


Fig. 5.